A Model of Dynamic, Within-Trial Conflict Resolution for Decision Making

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### Author Note

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# Abstract

Growing evidence for moment-to-moment fluctuations in visual attention has led to questions 2 about the impetus and time course of cognitive control. These questions are typically 3 investigated with paradigms like the flanker task, which require participants to inhibit an 4 automatic response before making a decision. Connectionist modeling work suggests that 5 between-trial changes in attention result from fluctuations in conflict--as conflict occurs, 6 attention needs to be upregulated in order to resolve it. Current sequential sampling models 7 (SSMs) of within-trial effects, however, suggest that attention focuses on a goal-relevant target 8 as a function of time. We propose that within-trial changes in cognitive control and attention are 9 emergent properties of the dynamics of the decision itself. We tested our hypothesis by 10 developing a set of SSMs, each making alternative assumptions about attention modulation and 11 evidence accumulation mechanisms. Combining the SSM framework with likelihood-free 12 Bayesian approximation methods allowed us to conduct quantified comparisons between subject-13 level fits. Models included either time- or control-based attention mechanisms, and either 14 strongly- (via feedforward inhibition) or weakly-correlated (via leak and lateral inhibition) 15 evidence accumulation mechanisms. We fit all models to behavioral data collected in variants of 16 the flanker task, one accompanied by EEG measures. Across three experiments, we found 17 converging evidence that control-based attention processes in combination with evidence 18 accumulation mechanisms governed by leak and lateral inhibition provided the best fits to 19 behavioral data, and uniquely mapped onto observed decision-related signals in the brain. 20 *Keywords:* conflict, attention, inhibitory control, sequential sampling models, EEG 21 22

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# 24 **1** Introduction

To achieve our goals and navigate a world that is teeming with distractions, humans rely on 25 cognitive control to manipulate limited processing resources in a goal-directed manner. While it 26 is known that cognitive control fluctuates as we complete the tasks of the day and upregulates 27 attention as we encounter competing sources of information, the mechanisms and time courses of 28 these processes remain a topic of active research. In addition to work showing post-feedback 29 modulation of attention via cognitive control to improve future performance (Blais, Robidoux, 30 Risko, & Besner, 2007; Botvinick, Cohen, & Carter, 2004; Verguts & Notebaert, 2008), there is 31 growing evidence that cognitive control acts at faster time scales as well (Braver, 2012; Goschke 32 & Dreisbach, 2008; Ridderinkhof, 2002; Scherbaum, Fischer, Dshemuchadse, & Goschke, 33 2011). Several mechanisms have been proposed to underlie dynamic changes in attention and 34 cognitive control, including competition between excitatory and inhibitory inputs (Frank, 2006; 35 Scherbaum, Dshemuchadse, Ruge, & Goschke, 2012), asynchrony between processing areas in 36 the brain (Verguts, 2017), and time itself (Hübner, Steinhauser, & Lehle, 2010; Ulrich, Schröter, 37 Leuthold, & Birngruber, 2015; White, Ratcliff, & Starns, 2011). Given that all of these 38 mechanisms within their respective computational frameworks can capture aspects of human 39 behavior, substantial overlap in model predictions has made it difficult to draw any stable 40 conclusions about how attentional processes are engaged. In the current study, we investigated 41 the dynamic modulation of attention via cognitive control by developing, fitting, and comparing 42 models representing competing hypotheses for how decisions are made under conditions of 43 perceptual conflict. 44

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47 **1.1 Conflict and cognitive control** 

As far back as Norman and Shallice (1986), cognitive control has been understood as a necessary 48 set of functions in tasks involving planning, error detection, novelty, difficulty, and conflict--49 situations where relying on habitual behaviors are insufficient for optimal performance. In the 50 lab, questions about how and when cognitive control is mobilized are often investigated using 51 speeded reaction time (RT) tasks that require inhibition of an automatic response. A well-studied 52 example is the flanker task (Eriksen & Eriksen, 1974; Kopp, Rist, & Mattler, 1996), in which 53 participants are asked to indicate the direction of a central arrow while ignoring distractors that 54 may be incongruent (<<<>>>>>) to the target. While congruent stimuli 55 only contain evidence for the correct response, incongruent trials require participants to resolve 56 conflict between the target and distractors before making a decision. As a result, participants are 57 slower and less accurate at responding to incongruent trials compared to congruent (Gratton, 58 Coles, & Donchin, 1992). This *congruency effect* is reduced when incongruent trials occur 59 consecutively, and responses tend to be slower and more accurate following errors. Both results 60 have been interpreted as evidence for modulation of cognitive control as a direct response to the 61 presence of conflict (see Larson, Clayson, & Clawson, 2014 for review). 62

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Influential connectionist modeling work by Botvinick and colleagues (Botvinick, 2007;
Botvinick, Braver, Barch, Carter, & Cohen, 2001; Botvinick et al., 2004; Botvinick, Nystrom,
Fissell, Carter, & Cohen, 1999; Yeung, Botvinick, & Cohen, 2004) suggested that a specialized
monitoring center in the brain outputs a measure of conflict at the end of each trial, and
subsequently triggers adjustments in cognitive control. After a conflict trial, an increase in
cognitive control boosts attentional processing of the goal-relevant target, which in turn

improves performance on the next trial. By analyzing flanker task simulation results, the authors 70 found that the output of the conflict monitoring unit in their model resembled typical EEG 71 effects, specifically, higher and more sustained peak voltage following errors compared to 72 correct responses (Botvinick et al., 2001). The conflict monitoring hypothesis has garnered 73 substantial support from neuroimaging work, localizing conflict detection functions to the 74 anterior cingulate cortex (ACC) and identifying modulation of attentional control within the 75 dorsolateral prefrontal cortex (Kerns et al., 2004; dlPFC; MacDonald, Cohen, Stenger, & Carter, 76 2000; Ridderinkhof, Ullsperger, Crone, & Nieuwenhuis, 2004; van Veen & Carter, 2002). 77

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# 1.2 Within-trial mechanisms

Other lines of work have questioned the timescale assumed by the conflict monitoring 80 hypothesis. Evidence from behavioral and neurophysiological work has suggested that cognitive 81 control is adjusted *within*-trial, in addition to *after* conflict occurs (Burle, Possamaï, Vidal, 82 Bonnet, & Hasbroucq, 2002; Czernochowski, 2015; Nigbur, Schneider, Sommer, Dimigen, & 83 Stürmer, 2015; Ridderinkhof, 2002). Scherbaum and colleagues (2011), for example, collected 84 electroencephalography (EEG) data while participants completed a modified flanker task with 85 separate visual frequency tags for targets and distractors. By dissociating the attentional 86 processing signals for the different stimuli, the researchers were able to identify within-trial 87 adjustments in cognitive control alongside the occurrence of conflict, in addition to carry-over 88 cognitive control engagement from previous trials. Alternatives to the conflict monitoring 89 hypothesis have therefore proposed that cognitive control operates on multiple timescales 90 (Braver, Gray, & Burgess, 2008; J. Brown, Reynolds, & Braver, 2007; Davelaar, 2008). Braver's 91 dual mechanisms of control framework (Braver, 2012; DMC; Braver et al., 2008; De Pisapia & 92

Braver, 2006) suggests that cognitive control operates in two modes: a stable 'proactive' mode 93 that biases attention systems to anticipate and prevent conflict, and a variable 'reactive' mode 94 that dynamically detects and resolves conflict as it occurs. Simulations of a DMC connectionist 95 model closely matched behavior and blood oxygenation level dependent (BOLD) imaging data 96 in the ACC and dlPFC during a cognitive control task, and provided evidence of shifting reliance 97 on proactive and reactive control modes between task conditions (De Pisapia & Braver, 2006). 98 As noted by Jiang and colleagues (2014), however, there is still little empirical evidence that the 99 ACC, which has repeatedly been shown to monitor conflict, contains multiple distinct 100 monitoring units operating at different timescales within-trial. 101

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### **103 1.3 Models of cognitive control**

To further delve into within-trial mechanisms independent from carry-over effects from previous 104 trials, theories about cognitive control have also been articulated within the *sequential sampling* 105 class of models (SSMs). Connectionist models are particularly useful for capturing changes over 106 the course of a task such as between-trial congruency effects, due to their complex, interactive 107 architecture and ability to continuously update context (Ratcliff, Van Zandt, & McKoon, 1999). 108 The flanker SSMs, in contrast, were developed to explain within-trial mechanisms underlying 109 robust conditional accuracy effects: faster errors than correct responses in the incongruent 110 condition (Gratton, Coles, Sirevaag, Eriksen, & Donchin, 1988). In general, it is assumed that 111 attention is influenced by distractor items at the beginning of a trial, but focuses on the target as 112 cognitive control is engaged (De Jong, Liang, & Lauber, 1994; Desimone & Duncan, 1995; 113 Mesulam, 1990). The flanker SSMs offer a range of accounts for how this process unfolds, 114 drawing inspiration from the literature on attention (Hübner et al., 2010; White et al., 2011) and 115

automaticity (Ulrich et al., 2015). Notably, all three of the existing flanker SSMs describe decision and attentional processes that are calculated as a function of time. As such, these models assume cognitive control processes engage based only on the stimulus at hand and the amount of time spent on a trial. This contrasts with the connectionist models, which assume cognitive

control is based on layered inputs from continuously-interacting populations of neurons.

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In the current article, we introduce an SSM of the flanker task in which cognitive control and 122 attention are emergent properties of the dynamics of the decision itself. Three core concepts from 123 decades of research on cognitive control are foundational to this work: 1) conflict arises from the 124 mutual activation of multiple choice options, 2) cognitive control is deployed as a direct response 125 to the presence of conflict, and 3) cognitive control biases visual attention toward goal-relevant 126 information. We begin with a standard two-accumulator SSM framework, in which noisy 127 evidence for each possible response accumulates through time until a decision boundary is 128 reached. In our model, a measure of cognitive control is continuously calculated within-trial 129 based on the total amount of evidence across responses. The area of the visually attended region 130 is in turn calculated from the cognitive control output, narrowing onto the target as cognitive 131 control increases or widening as the need for control relaxes away. As in the shrinking spotlight 132 (SSP) model introduced by White, Ratcliff, and Starns (2011), the evidence for each response is 133 calculated from the amount of attention allocated to target and distractors, respectively. The 134 proposed model is a closed-loop system, in which cognitive functions are a passive byproduct of 135 interacting processes within the broader decision and action. This framework presents a 136 parsimonious alternative to modularized conflict monitoring and cognitive control in the 137

connectionist models, and also serves as a biologically plausible alternative to the strictly timebased processes in the SSMs.

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The idea of cognitive control as an emergent property of activation dynamics has been suggested 141 previously (Mayr & Awh, 2009; e.g. Ward & Ward, 2006) and has been implemented in a 142 connectionist model of the flanker task (Scherbaum et al., 2012). The current work stands apart, 143 however, in a number of ways. First, our novel implementation of dynamic processing in an 144 SSM framework allows us to focus on within- rather than between-trial mechanisms. Second, the 145 SSM framework in combination with Bayesian-inspired analysis techniques gives us the power 146 to go beyond generating data that only matches summary statistics, and to fit our model to full 147 distributions choice-RT data at the individual-subject level. This allows us to assess our model's 148 ability to capture the nuanced differences in performance from subject to subject. Third, we fit 149 multiple model variants representing alternative mechanistic hypotheses to the same sets of 150 observed data, and provide a quantified comparison of goodness-of-fit statistics. Given that 151 nearly all published models are able to match observed data in some capacity, the ability to 152 directly compare fit quality based on full distributions of data is critical for model falsifiability. 153 We did not simply want to determine if a within-trial mechanism for cognitive control could 154 generally capture the data, but rather wanted to identify which specific patterns of subject-level 155 data were better fit by our model compared to a time-based alternative. 156

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### **1.4 Evidence accumulation processes**

We developed models with an attentional system driven by cognitive control as previously
 described, and compared them to models with an attentional system driven by time as in the SSP

developed by White and colleagues (2011). Given that our mechanism of interest critically 161 depends on the evidence for two-choice alternatives, defining the nature of competition between 162 accumulators was a matter of importance. There is considerable discrepancy on this point when 163 comparing the relevant connectionist models to the flanker SSMs. In connectionist models, units 164 representing separate groups of neurons are organized into layers, which in turn correspond to 165 different elements of a trial such as perception, attention, and decision. Units connect to one 166 another in a weighted fashion, passing excitatory or inhibitory inputs from layer to layer. Though 167 units critically affect each other, they typically maintain some level of independence due to 168 random noise, nonlinear activation functions, probabilistic firing, and passive decay of activity 169 (e.g. Liu, Holmes, & Cohen, 2008; McClelland & Cleeremans, 2009). As such, activation of both 170 "left" and "right" decision units in a flanker task may occur simultaneously. The existing flanker 171 SSMs, however, consider evidence for the two responses to be perfectly anticorrelated, and only 172 evidence for the "left" or the "right" can be above zero at any given time. To compare these 173 assumptions, the models in our investigation included evidence accumulation mechanisms that 174 were either strongly-correlated as in the original flanker SSMs, or were weakly-correlated and 175 governed by leak and lateral inhibition mechanisms to approximate elements of the connectionist 176 framework. Specifically, model variants incorporated calculations from two well-studied SSMs: 177 the *feedforward inhibition* (FFI) model (Shadlen & Newsome, 2001) and the *leaky-competing* 178 accumulator (LCA) model (Usher & McClelland, 2001, 2004). 179

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### 181 **1.5 Summary and outline**

In our main comparison, each model contains a combination of mechanisms from two different
 categories: drive to attentional processes (time-based vs. control-based attentional processing),

and competition between accumulators (strongly- vs. weakly- correlated). These alternative mechanisms are illustrated as a flowchart in *Figure 1*. As in the SSP, visual attention is conceptualized as a target-centered density function for a Gaussian distribution. The standard deviation of the attentional spotlight changes throughout a trial, either as a function of time itself or an internal calculation of cognitive control. Drift rates for the two accumulators in the decision process are determined by the area under the attentional spotlight allocated to the target and flankers, respectively. Evidence for each response is calculated within either the FFI or the LCA framework, such that the accumulators are strongly- or weakly- correlated with one another as they stochastically race toward a decision boundary. In the control-based models, cognitive control is represented as the cumulative distance between the total evidence and a threshold,  $\delta$ . Because the conflict models were designed as a closed-loop system, this measure of cognitive control feeds back into the calculation of the attentional spotlight standard deviation at the next moment in time. 



*Figure 1: Flowchart of alternative model mechanisms.* Each of the four models in our main investigation contained a different combination of mechanisms for attentional focus (time-based vs. cognitive control-based, *Panel 1*) and evidence accumulation (strongly-correlated vs. weakly correlated, *Panel 4*). Across all models, an attentional spotlight represented as a density function for a Gaussian distribution (*Panel 2*) shrinks throughout a trial. Drift rates are calculated from the area under the spotlight allocated to the target and flankers (*Panel 3*). Evidence is calculated within either an FFI or LCA framework (*Panel 4*). For control-based models, cognitive control as calculated as the

cumulative distance between total evidence and a threshold (*Panel 5*). This measure is in turn used to calculate the
standard deviation of the attentional spotlight in the control-based models, whereas the spotlight shrinks at a
constant rate in the time-based models.

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We fit all models to data collected in three experiments. Experiment 1 was a standard flanker 217 task with arrow stimuli, in which participants indicated whether a central target was pointing 218 'left' or 'right'. We were interested in observing how models with dynamic mechanisms for 219 cognitive control would compare to those with time-based mechanisms in the standard paradigm, 220 given that the time-based flanker SSMs have been shown to capture general congruency and 221 conditional accuracy effects in the past (White et al., 2011). In Experiment 2, which was 222 designed and administered by Servant and colleagues (2014), participants were asked to indicate 223 whether a target circle was red or blue while ignoring congruent (same-color) or incongruent 224 (different-color) distractor circles. Importantly, targets varied in color saturation across six 225 different conditions while the color saturation of the flankers was held constant. Here, the models 226 with strongly-correlated accumulation mechanisms would predict equal and opposite evidence 227 for the 'red' and 'blue' responses across saturation conditions. Models with weakly-correlated 228 accumulation mechanisms governed by leak and lateral inhibition, however, would predict 229 variations in evidence for each response that correspond to the perceptual strength of the relevant 230 stimulus. In Experiment 3, EEG data were collected as participants completed a standard flanker 231 task. With its high temporal resolution, EEG methods provided insight into the decision process 232 during a standard flanker task that we could not get from behavior alone. Using latent input joint 233 modeling analyses (Mack, Preston, & Love, 2013; Palestro, Sederberg, Osth, Van Zandt, & 234 Turner, 2018; Turner, Forstmann, Love, Palmeri, & Van Maanen, 2017), we determined the 235 correlation between each model's calculations of attentional drive and observed neural activity at 236

the level of each individual trial. Across these three experiments, we found converging evidence
that control-based attention processes in combination with evidence accumulation mechanisms
governed by leak and lateral inhibition provided the best fits to behavioral data and uniquely
mapped onto observed decision-related signals in the brain.

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Our goal was to investigate the possibility of cognitive control as an emergent property of 242 decision dynamics, within a framework that was amenable to data-fitting and quantifiable 243 comparisons. Starting with an existing SSM that was designed to capture the behavioral effects 244 of perceptual conflict, we developed, fit, and compared new model variants that represent 245 competing hypotheses on the nature of within-trial decision processes. We were specifically 246 interested in exploring two attributes of the decision-making process: competition between 247 accumulators, and the driving force underlying attention. Through model comparison and model-248 based EEG analyses, we investigated how competition between choice alternatives dynamically 249 affects decision processes that manifest in the brain. We have organized the current article as 250 follows. First, we will provide an overview of the existing SSMs of behavior under conditions of 251 perceptual conflict. Second, we will discuss the details of the models we developed to investigate 252 the within-trial dynamics of the decision process in the flanker task, and the theoretical 253 predictions of each. Third, we present the methods and results of the three experiments that 254 served as a testbed for our model investigation, as well as the details of our model-fitting 255 procedures. Lastly, we provide an interpretation of our results and a discussion of our findings. 256

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# 260 2 Model development

Two existing SSMs of the flanker task were central to our investigation: the shrinking spotlight 261 model of White and colleagues (2011) and the dual-stage, two-process model of Hübner and 262 colleagues (2010). Given our specific interest in within-trial mechanisms of attention, we 263 selected these models due to their intended fidelity to findings from the attention literature. Both 264 models were designed as variants of the *diffusion decision model* (DDM), in which a single 265 accumulator accrues evidence through time toward one of two response boundaries (Laming, 266 1968; Ratcliff, 1978). The single-accumulator structure is meant to represent the difference in 267 firing between populations of neurons tuned to each choice (P. Smith & Ratcliff, 2004). While 268 the standard DDM assumes evidence accumulation proceeds at a constant drift rate through time, 269 the SSP and DSTP include alternate implementations of a time-varying drift rate in order to 270 capture conditional accuracy effects in the flanker task. 271

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The SSP follows the zoom lens metaphor of attention, in which attention is represented by a 273 gradient of strength about a central focal point that can expand and contract alongside the area of 274 the visual field. Retinotopic mapping studies in fMRI have provided evidence that visual 275 attention is indeed oriented around a central fixation point in a graded fashion (Brefczynski & 276 DeYoe, 1999; Tootell et al., 1998) and that attention-related neural activity negatively scales 277 with the size of the attended region in a zoom lens-like manner (N. Müller, Bartelt, Donner, 278 Villringer, & Brandt, 2003). This work contributed to the idea that attentional resources are 279 finite, and that top-down selective processing is necessary for preferentially allocating attention 280 to behaviorally-relevant stimuli and events (Mesulam, 1990, 1999). In the SSP, the spotlight 281 concept is implemented as a density function for a Gaussian distribution that is centered on the 282

target, and each item (e.g. arrow) in the stimulus occupies one unit of perceptual space. The
standard deviation of the spotlight shrinks as a function of time, and drift rate is calculated at
each time step based on the area under the curve allocated to each item. Though attempts to fit
the SSP to data from tasks other than the flanker task have yielded mixed results (Servant et al.,
2014; Ulrich et al., 2015), the model is still able to capture a wide range of behaviors across task
conditions (White et al., 2011) and includes recoverable parameters governing the time-varying
drift rate (White, Servant, & Logan, 2018).

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The DSTP, in contrast, builds off of the *dual-process hypothesis*, which proposes that two 291 processing routes take effect when a stimulus appears: a direct, automatic route dominated by the 292 perceptual qualities of the stimuli, and a slower, effortfully-controlled route that depends on the 293 goal at hand (De Jong et al., 1994; Kornblum, Hasbroucq, & Osman, 1990). As illustrated by 294 Figure 2, the DSTP specifies two discrete stages of visual processing: 1) an early stage for 295 identifying simple stimulus features and perceptual filtering, and 2) a late stage dedicated to 296 processing the target. The early stage is divided into two racing diffusion processes: a stimulus 297 selection phase and a response selection phase. Boundaries in each phase represent target and 298 flanker stimuli, respectively. If the response selection phase terminates first, a response 299 corresponding to the crossed boundary is made immediately, based only on the perceptual 300 features of the stimulus. If the stimulus selection phase terminates first, the model transitions into 301 the late, target-processing stage (stage 2). In Stage 2, the drift rate of the response selection phase 302 shifts to reflect the outcome of the stimulus selection phase. The starting value of Stage 2 equals 303 the value of the response selection process at the time that the stimulus selection process crossed 304 a boundary. The direction of the drift rate in Stage 2 reflects the choice outcome of the stimulus 305

| 306 | selection phase. While this model can capture behavioral data patterns on a flanker task under |
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| 307 | various conditions and has gained support from electromyography data (Servant, White,          |
| 308 | Montagnini, & Burle, 2015), a recent parameter recovery study indicated that the drift rate    |
| 309 | parameters could not be reliably recovered from simulated data (White et al., 2018).           |
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*Figure 2: Diagram of the dual-stage two-phase (DSTP) model.* In Stage 1 (*left panel*), the stimulus selection and response selection phases are represented by racing diffusion processes. If the response selection phase finishes first, a response is made based only on the dominant perceptual features in the stimulus array. If the stimulus selection phase finishes first, no response is made, and either the target or the flankers are selected for controlled attentional processing. In Stage 2 (*right panel*), the response selection phase drift rate changes to reflect the outcome of the stimulus selection phase.

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We selected the SSP as the basis of our model investigation, systematically modifying the original model to incorporate an attentional spotlight driven by cognitive control as well as strongly- and weakly-correlated evidence accumulation mechanisms. The continuous, singleprocess format of the SSP was amenable to these modifications, whereas the multi-step architecture of the DSTP imposes constraints on when perceptual conflict can occur during a decision. Within our comparison of model mechanisms, our goal was to test the theory that

cognitive control and related modulation of attention are emergent properties of the dynamics of 337 the decision process. Our hypothesis, as implemented in the SSP framework, assumes that these 338 dynamic processes interact and update continuously throughout a trial. While the cognitive 339 control processes in the DSTP are generally time-based because the stimulus selection phase is a 340 diffusion process with a constant drift rate, one could argue that attention in the DSTP depends 341 on decision dynamics in addition to time alone. Specifically, the switch-point in the Stage 2 342 response selection drift rate is determined by the outcome of Stage 1 processes, rather than 343 occurring at a predetermined time point. We therefore fit the DSTP to the behavioral data across 344 our three experiments in addition to our SSP variants as a point of comparison, given that the 345 DSTP offers an alternative account of the decision-based attention processes of interest. 346 Equations and details of our implementation of the DSTP can be found in the supplementary 347 materials. In the following sections, we provide the details of mechanisms we implemented 348 within the SSP framework as part of our main investigation. 349

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**2.1** Competition between accumulators

While the original SSP was implemented within a diffusion model framework, we adapted the 352 shrinking spotlight mechanism within a single-boundary, dual-accumulator framework. These 353 two classes of models make subtly different assumptions about which neural processes are 354 represented by evidence accumulation. In the diffusion models, evidence represents the 355 cumulative difference in firing across populations of neurons corresponding to each of two 356 choice options. A response is made when this difference is sufficiently large, and a boundary 357 representing one of the two choices is crossed. In contrast, evidence in the accumulator models 358 reflects direct competition between the two most active populations of neurons during a decision. 359

Here, a response is made when one population of neurons reaches a predetermined firing rate threshold. Models from these two classes have been fit to data and compared extensively over the past several years, with the general consensus being that different classes of models are appropriate for different kinds of decisions (P. Smith & Ratcliff, 2004). In our project, we were interested in testing which set of assumptions is appropriate for decisions involving perceptual conflict: are decisions in the flanker task based on the difference in neural representations of targets and distractors, or the active competition between them?

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Evidence accumulation in our models was mathematically defined using either LCA or FFI 368 mechanisms, LCA is a well-known example of the accumulator class of models, and was 369 designed to reflect observed biological mechanisms in the brain (Abbot, 1991; Amit, Brunel, & 370 Tsodyks, 1994). Each accumulator in the LCA model passively leaks evidence through time, and 371 is inhibited based on the strength of the other accumulators. The FFI model, in contrast, features 372 two accumulators with crossed inputs and no leak. As in Turner et al. (2016), we constrained the 373 FFI model so that evidence accumulation for each choice was anticorrelated with that of the 374 other. This implementation was meant to mimic the single-accumulator diffusion model 375 framework, in which a movement toward one decision boundary necessitated a movement away 376 from the other. Similarly for the constrained FFI model, one accumulator moving toward the 377 decision boundary requires the other to move toward zero. Figure 3 provides illustrations of how 378 evidence accumulation for two choice options occurs in the FFI and LCA models. Because 379 evidence in the constrained FFI model is anticorrelated, the path of the decision process diffuses 380 along a single plane and the total evidence can only increase if one accumulator reaches zero, as 381

shown in *Figure 3, Panel C. Figure 3, Panel F* shows that the decision path in the LCA model is

not isolated to a diagonal plane due to the independence of the accumulators.

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*Figure 3. Comparison of FFI and LCA mechanisms. Left column:* Graphical models of FFI (A) and LCA (D) processes from stimulus input to response, where dashed lines represent loss of evidence, open circles represent inhibition. *Middle column:* Simulated paths of evidence accumulation in FFI (B) and LCA (E) for two options in a single trial of a two-alternative choice task. *Right column:* Phase plane plots of the same decision illustrated in panels B and E for the constrained FFI (C) and the LCA model (F). Black lines show the path of the decision process in a single trial by plotting evidence for each option against one another where 1.0 on each axis represents the decision threshold.

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# 393 2.1.1 Constrained FFI model

Evidence for each accumulator c is denoted  $x_c$  is As described in Turner, Sederberg, &

McClelland (2016),  $drive_c$  and activation  $dx_c$  are represented by

$$drive_c = \rho_c \frac{dt}{\Delta t} + \xi \sqrt{\frac{dt}{\Delta t}}$$

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$$dx_c = drive_c - drive_{c-1}$$
$$x_c \to max(x_c, 0).$$

398 where  $\rho_c$  and  $\rho_{-c}$  denote the drift rates for accumulator c and the alternative respectively. To

399 approximate the continuous differential equation for  $drive_c$ , we used the Euler method to 400 discretize time, selecting a step size of dt=0.01 modified by a time constant of  $\Delta_t = 0.1$  (S. 401 Brown, Ratcliff, & Smith, 2006). The degree of noise in the accumulation process is represented 402 by  $\xi$ , a driftless Wiener process distributed as  $\xi \sim \mathcal{N}(0, 1)$ . In line with the conventions of 403 accumulator models, evidence  $x_c$  for each accumulator c was bound at zero so that neither 404 accumulator could ever be negative. Evidence for each alternative accumulates through time 405 until decision threshold  $\alpha$  is reached, and a response is selected in favor of the winning 406 accumulator. Response time, then, is equal to the sum of the time taken for one of the 407 accumulators to reach  $\alpha$  and non-decision time  $\tau$ , which comprises early visual processing and 408 motor preparation. Although different approaches could have been taken, accumulator starting 409 points were set in relation to the decision threshold  $\alpha$  such that  $x_c = \frac{\alpha}{3}$  for  $c \in \{1,2\}$ . This choice 410 of starting point has been selected in previous modeling work (Ditterich, 2010; van Ravenzwaaij, 411 van der Maas, & Wagenmakers, 2012) to align with findings from single unit recordings 412 (Churchland, Kiani, & Shadlen, 2008). 413

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#### 2.1.2 LCA model 415

While evidence in the constrained FFI model is strongly correlated, LCA accumulators are 416 weakly correlated, linked only by lateral inhibition processes that repel the accumulators away 417

from one another via parameter  $\beta$ . Evidence for each choice passively decays throughout the accumulation process at a rate equal to leak parameter  $\kappa$ . Activation  $dx_c$  at is given by

$$dx_c = (\rho_c - \kappa x_c - \beta \sum_{j \neq c} x_j) \frac{dt}{\Delta t} + \xi \sqrt{\frac{dt}{\Delta t}}$$

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$$_{421} \qquad x_c \to max(x_c, 0).$$

Again, we used the Euler method to discretize time, selecting a step size of dt=0.01 modified by a time constant of  $\Delta_t = 0.1$ . Evidence accumulates through time until the decision threshold  $\alpha$  is reached, and a response is made after non-decision time  $\tau$ . Evidence  $x_c$  was bound at 0 and

starting points were set to a proportion of threshold  $\alpha$  such that  $x_c = \frac{\alpha}{3}$  for  $c \in \{1,2\}$ .

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# 427 **2.2 Drive to attention mechanisms**

Our core mechanistic hypothesis is that attention is directly modulated within-trial as an 428 emergent property of decision-making dynamics. This hypothesis is based on evidence of within-429 trial changes in attention and cognitive control from neuroimaging (Czernochowski, 2015; 430 Nigbur et al., 2015; Scherbaum et al., 2011) and connectionist models in which cognitive control 431 is dynamically mobilized in response to the mutual activation of multiple response nodes (De 432 Pisapia & Braver, 2006; Frank, 2006; Scherbaum et al., 2012; Verguts, 2017). Our proposed 433 control-driven attention mechanism stands in contrast to existing SSMs of decision processes 434 during the flanker task, in which attention is directly dependent upon time itself. To test our 435 hypothesis against the assumption of time-dependent attention processes, we developed variants 436 of the SSP with either time-based or control-based attentional spotlights. The time-based models 437 mirror the original SSP so that attention, implemented as a density function for a Gaussian 438 distribution centered on the target of a flanker array, gradually shrinks throughout a trial as a 439

linear function of time. In the control-based models, cognitive control is calculated as the
cumulative distance between total evidence and a threshold. The standard deviation of the
attentional spotlight is in turn calculated as a function of cognitive control. These mechanisms
are illustrated in *Figure 1*.

444

Our selection of the cognitive control function was based on three factors. 1) Cognitive control is 445 recruited in response to the presence of conflict (Botvinick et al., 2004; Larson et al., 2014; 446 Miller & Cohen, 2001), which is defined as the mutual activation of multiple choice options 447 (Botvinick et al., 2001, 1999). Our implementation of the SSP within a dual-accumulator model 448 framework allows us to track evidence for both response options throughout a trial, and we opted 449 to base our calculation of cognitive control on the total amount of evidence in the system. 2) 450 Braver's dual mechanisms of control framework (2012) suggests that when conflict exceeds the 451 available resource of cognitive control, cognitive control is upregulated within-trial until conflict 452 can be successfully resolved. When conflict is resolved, cognitive control is allowed to decrease. 453 The *active* level of cognitive control, then, is continuously compared to a *required* level of 454 control, and is updated accordingly throughout a trial. We implemented this idea into our models 455 by calculating cognitive control as an evidence-based signal relative to a threshold, where the 456 threshold represents a predetermined level for optimal conflict resolution. 3) Given that more 457 conflict occurs on incongruent compared to congruent trials (Botvinick et al., 1999; Gratton et 458 al., 1992), cognitive control should reach a higher peak on incongruent compared to congruent 459 trials. Combining all of these factors, we developed a measure of cognitive control that is based 460 on the dynamics of the evidence accumulation process, generally builds through time, is able to 461 relax toward the end of a trial as conflict is resolved, and naturally demonstrates differences 462

<sup>463</sup> between task conditions. Average simulations of within-trial cognitive control signals for each

task condition are shown in *Figure 4*, alongside time signals for contrast.



*Figure 4: Control- and time-based signals to attention.* Across models in our comparison, attentional spotlights
shrink as a function of control (*Left panel*) or time (*Right panel*). Mean simulations of control and time signals are
shown for a single trial in the congruent and incongruent conditions.

468

Because our calculation of cognitive control is based on the sum of evidence at each time step, 469 the mode of evidence accumulation (FFI vs. LCA) has notable effects on the moment-to-moment 470 changes in cognitive control, and subsequently, the behavior of the spotlight. The accumulators 471 in the FFI model are strongly correlated and trade off as shown by the phase plane plots in 472 Figure 3, and total evidence only changes if one accumulator is forced to zero while the other 473 continues to increase. Otherwise, an increase in evidence for one accumulator results in a 474 decrease in evidence for the other, and the sum of evidence remains constant. For weakly-475 correlated LCA accumulators, however, total evidence fluctuates as rapidly as the accumulator 476 values themselves. While spotlights in both FFI-control and LCA-control models share the 477 general characteristics of narrowing through time at variable rates while maintaining the ability 478 to widen as cognitive control relaxes, LCA-control naturally predicts a spotlight trajectory with 479 higher within-trial variability in comparison to FFI-control. Due to the possibility that noise 480

alone would result in similarly-fitting models compared to the mechanisms of interest, we 481 developed FFI and LCA model variants in which the spotlight is driven by time with additional 482 within-trial variability. As described in Section 2.2.3, the standard deviation of the noise 483 distribution was added as a free parameter, so that variability in the spotlight calculation could be 484 added as needed to optimally fit the data. Figure 5 shows calculations of attentional spotlight 485 widths through time, generated from the FFI-conflict and LCA-conflict models as well as time-486 and time+noise-based models. In the following sections, we will provide the mathematical 487 details of each type of attentional spotlight mechanism that we explored in the current project. 488 489



490 *Figure 5: Model-generated spotlight widths through time.* For each model, 50 trials were simulated from one 491 participant's best-fitting parameters. Panels show calculations of spotlight standard deviations through time, with 492 each simulation displayed as a gray line to demonstrate the between-trial variability captured by each model. A

single additional simulation is shown as a red line to illustrate differences in within-trial variability.

494

# 496 **2.2.1 Time-based attention**

As in the original SSP, our two-accumulator implementations of the model calculate drift rate through time based on an attentional spotlight. Drift rate is governed by three free parameters: attentional strength (p), width of the spotlight at the beginning of a trial  $(sd_0)$ , and the rate at which the spotlight shrinks  $(r_d)$ . Across models, the spotlight is a density function for a Gaussian distribution centered at 0 with standard deviation  $(sd_a)$ . The width of the spotlight is calculated continuously as a function of time, discretized as *t*:

and the area of the attended spatial region allocated to target and flanker items is given by

$$sd_a = sd_0 - r_d t$$

504

$$a_{target} = \int_{-0.5}^{0.5} \mathcal{N}(0, sd_a)$$

505

$$a_{flanker} = \int_{0.5}^{n+0.5} \mathcal{N}(0, sd_a)$$

506

where *n* is the number of flanker items on each side of the target on a horizontal plane. Allocation of spatial attention based on the area under a Gaussian curve is illustrated in *Panel 2 of Figure 1*. Limits reflect the assumption that each item in the stimulus array occupies one unit of perceptual space (White et al., 2011). Drift rates for the correct ( $\rho_2$ ) and incorrect ( $\rho_1$ ) responses are calculated in each condition depending on the direction of the flanker items relative to the target via *congruent* :  $\rho_2 = pa_{target} + 2pa_{flanker}$ ;  $\rho_1 = 0$  (1)

$$incongruent: \rho_2 = pa_{target}; \rho_1 = 2pa_{flanker}.$$
(2)

- 515
- 516



In contrast to time being the driving force to the attentional spotlight, we defined a subset of 519

models in which the spotlight standard deviation was calculated continuously as 520

$$sd_a = sd_0 - r_dc$$

where c represents cognitive control. As described previously, cognitive control was calculated 522 based on the cumulative distance between the total amount of evidence in the system and a 523 conflict threshold  $\delta$ , such that 524

$$dc = (\delta - \sum_{c \in \{1,2\}} x_c) \frac{dt}{\Delta t}$$

525

As in the time models, drift rates were calculated via *Equations 1* and 2. 526

527

#### Time with noise 2.2.3 528

As shown in *Figure 5*, the control-based models allow for more variability in drive to the 529 attention system compared to the time models. While this variability is a natural consequence of 530 calculating  $sd_a$  based on the state of noisy accumulators, we wanted to investigate whether the 531 addition of *random* variability would be equally suitable for fitting the data. As such, we 532 developed variants of the time models that included an additional free parameter  $\sigma$ . Noise  $\zeta$  was 533 drawn from a driftless Wiener process such that  $\zeta \sim \mathcal{N}(0, 1)$ . The standard deviation of the 534 spotlight was then calculated from the noisy time-based signal, such that 535

536

$$d\eta = \sigma \zeta \frac{dt}{\Delta t}$$

 $sd_a = sd_0 - r_d\eta_.$ 

538

540 2.3 Summary of model variants

Our current investigation was centered around four variants of the SSP, each containing a 541 different combination of evidence accumulation mechanisms (strongly-correlated, FFI vs. 542 weakly-correlated, LCA) and calculations for visual attention (time-based vs. control-based). 543 Because the control-based models allow for variability in the behavior of the attentional spotlight 544 whereas the time-based models do not, we included FFI and LCA variants of time models in 545 which within-trial noise was injected into the spotlight calculation. Table 1 summarizes the free 546 parameters included in each of these six models. To investigate an alternative method for 547 decision-based mechanisms for attention and cognitive control, we also included the DSTP 548 model. The 9 free parameters in the DSTP model are listed in the supplementary materials. 549

550

|           |                              |              |                   |                | Model        |                   |                |
|-----------|------------------------------|--------------|-------------------|----------------|--------------|-------------------|----------------|
| Parameter | Description                  | FFI<br>time  | FFI<br>time+noise | FFI<br>control | LCA<br>time  | LCA<br>time+noise | LCA<br>control |
| $r_d$     | rate of focus                | $\checkmark$ | $\checkmark$      | $\checkmark$   | $\checkmark$ | $\checkmark$      | $\checkmark$   |
| p         | perceptual input<br>strength | $\checkmark$ | $\checkmark$      | $\checkmark$   | $\checkmark$ | $\checkmark$      | $\checkmark$   |
| $sd_0$    | starting spotlight width     | $\checkmark$ | $\checkmark$      | $\checkmark$   | $\checkmark$ | $\checkmark$      | $\checkmark$   |
| α         | decision threshold           | $\checkmark$ | $\checkmark$      | $\checkmark$   | $\checkmark$ | $\checkmark$      | $\checkmark$   |
| au        | non decision time            | $\checkmark$ | $\checkmark$      | $\checkmark$   | $\checkmark$ | $\checkmark$      | $\checkmark$   |
| $\sigma$  | within-trial variability     |              | $\checkmark$      |                |              | $\checkmark$      |                |
| δ         | conflict threshold           |              |                   | $\checkmark$   |              |                   | $\checkmark$   |
| κ         | leak                         |              |                   |                | $\checkmark$ | $\checkmark$      | $\checkmark$   |
| β         | lateral inhibition           |              |                   |                | $\checkmark$ | $\checkmark$      | $\checkmark$   |
|           | Total                        | 5            | 6                 | 6              | 7            | 8                 | 8              |

551 Table 1: Summary of free parameters

552

553

# 555 **3** Experiments

Data from three experiments served as the testbed for the seven model variants. The first 556 experiment was a standard flanker task, which was intended to test each model's ability to 557 capture basic behavioral effects between conditions. The second experiment included a 558 manipulation in which the perceptual strength of the target relative to the flanker items varied 559 from trial to trial. These data were fit by adding free parameters to modify perceptual input 560 strength (p) depending on the perceptual strength of each item in the stimulus array. The third 561 experiment was a standard flanker task during which we also recorded scalp EEG measurements. 562 The models were fit to behavior alone for all experiments, and simulation methods were used in 563 our analysis of data collected in Experiment 3 to observe which models most successfully 564 mapped onto within-trial EEG voltage at each electrode. 565

566

# 567 **3.1 Experiment 1**

Given that the SSP was designed to capture data in a standard flanker task and has successfully 568 fit patterns of responses across conditions (White et al., 2011), we wanted to test all of our SSP 569 model variants in this domain as well. Participants completed a standard flanker experiment, in 570 which they indicated the direction of a central arrow while ignoring congruent, incongruent, or 571 neutral distractor items. Although we only fit the models to data from congruent and incongruent 572 trials, we hoped that the inclusion of neutral trials would boost flanker effects via increased rarity 573 of incongruent trials (Gratton et al., 1992) while maintaining equal numbers of congruent and 574 incongruent observations. 575

576

### 578 **3.1.1 Method**

579

# 580 **3.1.1.1 Procedure**

After providing written informed consent, participants were seated in a cubicle and asked to turn 581 off all electronic devices. Instructions for the task appeared on the computer screen, and were 582 read aloud by the experimenter. Each block began with a summarized instruction screen to 583 remind participants of the appropriate response mappings while also providing an opportunity to 584 take a short break from the task. The instruction summary remained on the screen until the 585 participant pressed the ENTER key to proceed. During each trial, a fixation cross appeared in the 586 center of the screen for 1000 ms before being removed. The trial stimulus then appeared on the 587 screen after a jittered duration of 100-900 ms. Participants responded by pressing the 'J' key on 588 the keyboard if the arrow in the center of the array pointed left, and the 'K' key if the center 589 arrow pointed right. Participants were asked to respond with their right forefinger and right 590 middle finger respectively. Only responses made 150 ms after the stimulus appeared were 591 recorded, and the stimulus was removed from the screen immediately after the participant made 592 a valid response. Participants were given an unlimited amount of time to respond, but were 593 instructed to respond as quickly and accurately as possible. 594

595

### 596 **3.1.1.2 Stimuli and apparatus**

A custom program using the State Machine Interface Library for Experiments (SMILE;
https://github.com/compmem/smile) was written to present stimuli, track timing, and log
responses. Stimuli were presented on a desktop computer equipped with Linux OS connected to
a 15-inch display with a refresh rate of 60 Hz. Participants were seated in individual cubicles

within view of an experimenter. Before beginning, participants completed 10 practice trials of
the task. The task consisted of 8 blocks of a standard flanker task, each block containing 48
trials. Including practice, participants completed 394 trials in total. Task condition (congruent,
incongruent, neutral) and target direction (left, right) were counterbalanced within block. Stimuli
were presented in white font on the horizontal midline of a dark gray field. Each stimulus
consisted of a target arrow in the center of 6 flanker items, 3 to the left and 3 to the right.

607

### 608 3.1.1.3 Participants

27 undergraduate students at The Ohio State University participated in Experiment 1 in exchange
 for partial course credit. All participants provided informed consent in accordance with the
 requirements of the Institutional Review Board at the university. One participant's data were
 excluded from analysis due to failure to exceed a chance level of performance on the task.

613

# 614 **3.1.1.4 Model-fitting and comparison**

The seven models were fit to each participant's data independently using probability density 615 approximation (PDA) methods described by Turner and Sederberg (2014) and implemented via 616 custom programs with RunDEMC (https://github.com/compmem/RunDEMC). Because the 617 models within the current investigation do not have analytic likelihood functions, PDA methods 618 allowed us to approximate how likely the choice and RT data Y would be under a set of model 619 parameters  $\theta$ . After specifying each model, we defined a set of prior distributions  $\pi(\theta)$  for each 620 parameter that will be discussed in the next section. Parameter sets were proposed via differential 621 evolution with Markov chain Monte Carlo (DE-MCMC; Ter Braak, 2006; Turner & Sederberg, 622 2012; Turner, Sederberg, Brown, & Steyvers, 2013), a genetic algorithm that makes proposals 623

based on the relative success of previous proposals. Within DE-MCMC, a proposed parameter 624 set in a chain is accepted with Metropolis Hastings probability, such that parameters have a 625 higher probability of survival if they fit the data better than the previous proposal, and concurrent 626 chains inform one another on each iteration. Using each proposed parameter set  $\theta^*$ , we simulated 627 each model 30.000 times to produce a set of data X such that  $X \sim Model(\theta^*)$ . From these 628 distributions, we constructed a simulated probability density function using an Epanechnikov 629 kernel (Turner & Sederberg, 2014; Turner et al., 2016) to estimate the form of X. We then 630 calculated the density of each point in the observed data Y under the given set of parameters  $\theta$ 631 using the equation: 632

$$Model(Y_i|\theta) = f(Y_i|X)$$

<sup>634</sup> Where f is an approximation of the functional form of simulated data X. We then approximated <sup>635</sup> the likelihood function using the equation

$$L(\theta|Y) = \prod_{i=1}^{N} Model(Y_i|\theta).$$

636

Finally, the posterior density for a given parameter set was approximated by combining the likelihood function and the set of prior distributions  $\pi(\theta)$  with the equation:

$$\pi(\theta|Y) \propto L(\theta|Y)\pi(\theta).$$

This procedure was implemented in 50 chains for 600 "burn-in" iterations to identify the maximum a posteriori (MAP) estimate, followed by 1,600 sampling iterations to generate full posterior distributions. A purification step was implemented every 5 iterations for the accepted population, in which likelihood values were recalculated and replaced in order to prevent chains from getting stuck in spuriously high-likelihood regions of the posterior (Holmes, 2015; Turner, Schley, Muller, & Tsetsos, 2018). Priors were selected to be uninformative in terms of range, but

| 646 | to provide a moderate level of constraint in terms of functional form. As none of these models    |
|-----|---|
| 647 | have been fit in a Bayesian paradigm, we had no precedent to rely upon for selecting a prior      |
| 648 | distribution for each parameter. Prior distributions were specified as follows, and were the same |
| 649 | across models that utilized common parameters:  |
| 650 | $r_d \sim \mathcal{U}(0, 20)$   |
| 651 | $p \sim \mathcal{U}(0, 20)$   |
| 652 | $sd_0 \sim \mathcal{TN}(1, 10, 0, 20)$  |
| 653 | $\alpha \sim \mathcal{TN}(2.5, 10, 0, 30)$  |
| 654 | $\tau \sim \mathcal{TN}(0.1, 0.5, 0, min(RT))$  |
| 655 | $logit(\kappa) \sim \mathcal{N}(0, 1.4)$  |
| 656 | $logit(\beta) \sim \mathcal{N}(0, 1.4)$   |
| 657 | $\delta \sim \mathcal{TN}(2.5, 10, 0, 30)$  |
| 658 | $\sigma \sim C^+(0, 10)$  |
| 659 | To compare the relative fit performances of the models, we calculated the Bayesian predictive     |

<sup>659</sup> To compare the relative fit performances of the models, we calculated the Bayesian predictive <sup>660</sup> information criterion (BPIC; Ando, 2007) for each model within-subject. We selected BPIC as <sup>661</sup> our comparison metric for the present investigation because it is calculated in consideration of <sup>662</sup> the full posterior distribution rather than a point estimate of the maximum log likelihood. This <sup>663</sup> metric also accounts for model complexity by favoring models with fewer free parameters. To <sup>664</sup> calculate BPIC values, a vector  $V(\theta)$  of deviance values was calculated from the likelihood  $\theta$  for <sup>665</sup> each set of parameters in the latter 1,400 sampling iterations of the posterior using the equation:

$$V(\theta) = -2log(L(\theta|D)).$$

| 667 | We then calculated the mean and minimum deviance as $\overline{V}$ and $\hat{V}$ respectively. The effective |
|-----|--|
| 668 | number of parameters $p_V$ was calculated as $p_V = \overline{V} - \hat{V}$ . Finally, the BPIC value was    |
| 669 | calculated as:   |
| 670 | $BPIC = \overline{V} + 2p_V$   |
| 671 | where lower BPIC values indicated a better fit.  |
| 672 |  |
| 673 | 3.1.2 Results  |
| 674 |  |
| 675 | 3.1.2.1 Behavior   |
| 676 | Responses shorter than 150 ms or longer than 2000 ms were excluded from analyses and model-                  |
| 677 | fitting (<4% of trials across subjects). Neutral trials were excluded from analyses due to an                |
| 678 | unforeseen pop-out effect in our data, such that participants were slightly faster at responding to          |
| 679 | neutral stimuli compared to congruent. As such, only congruent and incongruent trials were                   |
| 680 | analyzed further. A summary of behavioral results is shown in Table 2. Behavioral results were               |
| 681 | analyzed using paired-sample t-tests, where the degrees of freedom for within-condition                      |
| 682 | performance comparisons were based on the number of subjects who made at least one error in                  |
| 683 | the condition of interest. We observed the expected flanker task effects, including significantly            |
| 684 | lower accuracy on incongruent trials compared to congruent (t(25)=-2.919, p<0.01) and                        |
| 685 | significantly slower RTs for incongruent trials compared to congruent (t(25)=7.520, p<0.001).                |
| 686 | Our data also demonstrated significantly faster errors than correct responses in the incongruent             |
| 687 | condition (t(22)=-3.778, p<0.01), but not in the congruent condition (t(9)=0.910, p=0.386).                  |
| 688 |  |

- 689

| Condition   | Accuracy | All RT | Correct RT | Error RT |
|-------------|----------|--------|------------|----------|
| Incongruent | 0.912    | 661    | 669        | 533      |
| Congruent   | 0.969    | 537    | 540        | 620      |

| 1000 Tuble 2. Therage accuracy and mean KTS (ms) across participants for Experiment 1 | 690 | Table 2: Average accuracy and mean RTs (ms) across participants for Experiment 1 |
|---|-----|--|
|---|-----|--|

# **3.1.2.2 Model fits**

| 693 | BPIC values were calculated for each model and subject as a measure of goodness-of-fit. Values    |
|-----|---|
| 694 | were mean-centered within subject, and are displayed as a heat map in Figure 6. Out of 26 total   |
| 695 | participants in Experiment 1, the LCA control model was the best performing model for 8           |
| 696 | participants, the FFI control model was the best performing model for 5 participants, the LCA     |
| 697 | time model was the best performing model for 2 participants, the DSTP model was the best          |
| 698 | performing model for 7 participants, and the FFI time model was the best performing model for     |
| 699 | 4 participants. Accounting for the magnitude of the wins across subjects, the two conflict models |
| 700 | outperformed their time-based alternatives and DSTP, though results were mixed overall.           |
| 701 |   |
| 702 |   |



*Figure 6: Heat map of BPIC values, mean-centered within-subject for Experiment 1.* Each column corresponds to a
 subject. Lower BPIC values (blue hues) indicate better model fits. The winning model for each subject is outlined in
 black. Average mean-centered values across subjects are shown in the panel to the right.

708

*Figure* 7 shows observed choice-RT distributions averaged across participants, as well as mean 709 distributions generated from each subject's best-fitting parameters in our four main models of 710 interest. All four models were able to capture typical flanker effects of slower, less accurate 711 responses in the incongruent compared to the congruent condition, and faster errors than correct 712 responses in the incongruent condition. Given that the SSP was specifically designed to capture 713 robust congruency and conditional accuracy effects, it is unsurprising that all models were able 714 to fit the standard pattern of data. Though the control-driven models were better suited for 715 capturing the peaks of the correct response distributions than the time-driven models, across-716 subject results reflect strong model mimicry. To gain more insight into the differences in 717 predictions among the models, we need to delve into the more nuanced patterns of behavior that 718 were not necessarily robust across all subjects. 719


*Figure 7: Observed and model-generated choice-RT distributions.* Observed RT distributions for correct (light gray
 histograms) and incorrect (dark gray histograms) responses were averaged across participants. Models were
 simulated 10,000 times for each condition, using each participant's best-fitting parameters. Lines show average
 model-generated distributions across participants. Distributions generated by the FFI time and FFI control models
 are shown in the *top row*, whereas distributions generated by the LCA time and LCA control models are shown in
 the *bottom row*.

We provide analyses using two measures of response capture: *error location indices* (ELIs) and 728 conditional accuracy functions (CAFs). An ELI value represents the proportion of incorrect 729 responses that are faster than trials chosen at random (Servant, Gajdos, & Davranche, 2018). For 730 example, a participant who performed less accurately when they made fast responses would 731 likely have a high (close to 1.0) ELI, whereas a participant who performed less accurately when 732 they made slower responses would likely have a low (close to 0.0) ELI. The SSP was developed 733 to capture the general effect of fast errors specific to the incongruent condition of the flanker 734 task, which manifests as higher ELI values in the incongruent compared to the congruent 735 condition. While all four of the main models in the current investigation can capture this basic 736 effect, we observed differences among the models in terms of their abilities to predict individual 737 differences in ELIs in the incongruent condition. After fitting each model to data from each 738 subject, we used best-fitting parameters to generate predicted ELI values. *Figure 8* shows 739 correlations between observed and predicted ELI values in the incongruent condition for each 740 model. Per the requirements of the calculation, participants were only included if they made at 741 least one error in the incongruent task condition (23 participants). These results suggest that the 742 LCA control model is best able to capture the nuanced subject-level differences that we observed 743 in our dataset. To assess significance, we applied a Fisher's z transformation to each r correlation 744 and calculated an observed z test statistic at an alpha level of 0.05 for each pairwise combination 745 of models. The observed vs. predicted ELI correlation for the FFI control model was 746 significantly lower than that of the LCA control (z=2.284, p=0.011) and LCA time models 747 (z=1.742, p=0.041). No other comparisons were significant (LCA control vs. LCA time: 748 z=0.542, p=0.294; LCA control vs. FFI time: z=0.833, p=0.203; LCA time vs. FFI time: 749

<sup>750</sup> z=0.291, p=0.386; FFI time vs. FFI control: z=1.451, p=0.073).



*Figure 8: Observed and predicted ELI values for incongruent trials.* ELI values calculated from each subject's data
 in the incongruent condition (x-axis) are plotted against the ELI values generated from each subject's best-fitting
 parameters (y-axis) in each model (panels). Correlations and lines of best fit are displayed on each panel.

While ELIs were developed as a quantitatively interpretable alternative to CAFs, CAFs remain a 756 common tool for illustrating behavioral effects in the flanker task. In the CAF, performance is 757 plotted as a function of RT. Figure 9 shows average CAFs across subjects calculated from 758 observed data in the incongruent condition, overlaid by average predicted incongruent CAFs 759 generated from each subject's best-fitting parameters for each model. As mentioned previously, 760 all four models can capture fast errors in the incongruent condition, which is illustrated by lower 761 accuracy in the initial RT bins. The models differ, however, in their abilities to capture *slow* 762 errors. Neither the LCA time nor the FFI time model appropriately captures the dropoff in 763 accuracy for longer RTs. The control models, however, are able to predict a decrease in cognitive 764 control toward the end of a trial, which allows the models to capture patterns of accuracy that 765 reach a peak before slightly decreasing. This is due to the nature of our conflict signal as 766 illustrated by *Figures 1 and 4*, which allows for the widening of the attentional spotlight toward 767 the end of a trial depending on the parameter values. The FFI control model, however, appears to 768 overpredict the proportion of slow errors due the combination of the control mechanism and the 769

strong correlation between accumulators, resulting in the lowest correlation between observed





*Figure 9: Observed and predicted CAFs for incongruent trials.* Data from each subject were sorted according to RT
 within 6 equally-spaced percentile bins. Performance and minimum RT for each bin were averaged across

participants (red Xs). After generating 1,000 choice-RT pairs from each subject's best-fitting parameters within each

model, the same procedure was used to calculate CAFs for each model (gray lines).

776

ELIs for the congruent condition were useful for distinguishing these models as well. Similar to 777 Figure 8, Figure 10 shows ELI values calculated from observed data in the congruent condition 778 in relation to the predicted ELI values generated from best-fitting parameters in each model. Per 779 the requirements of the calculation, participants were only included if they made at least one 780 error in the congruent task condition (10 participants). Predictions using the LCA control model 781 best mapped onto subject-level ELIs in the congruent condition compared to the other models. 782 The observed vs. predicted ELI correlation for the LCA control model was significantly higher 783 than that of the FFI time (z=3.088, p=0.001) and FFI control models (z=1.871, p=0.031), and the 784 correlation for the LCA time model was significantly higher than that of the FFI time model as 785 well (z=1.822, p=0.034). No other comparisons were significant (LCA control vs. LCA time: 786 z=1.266, p=0.103; LCA time vs. FFI control: z=0.606, p=0.272; FFI control vs. FFI time: 787 z=1.217, p=0.112). 788



*Figure 10: Observed and predicted ELI values for congruent trials.* ELI values calculated from each subject's data
 in the congruent condition (x-axis) are plotted against the ELI values generated from each subject's best-fitting
 parameters (y-axis) in each model (panels). Correlations and lines of best fit are displayed on each panel.

To observe specific differences in model predictions within the congruent condition, mean CAFs 794 were generated separately for participants with low (0.11-0.31) and high (0.74-1.00) observed 795 ELIs as determined by median split. Figure 11 shows observed and model-predicted CAFs for 796 low-ELI participants in the congruent condition, in which the observed data demonstrates a 797 higher proportion of errors for longer compared to shorter RTs. While all models miss the mean 798 performance values considerably, the LCA control, LCA time, and FFI control models are able 799 to capture a general pattern of slow errors in the congruent condition. Though the LCA time 800 model lacks the ability to relax attentional processing like the control models, it is presumably 801 able to capture these slow errors via the leak  $(\kappa)$  parameter. The FFI time model, however, has 802 no mechanism for capturing slow errors in the congruent condition. 803







*Figure 11: Observed and predicted CAFs for congruent trials across low-ELI participants.* Data from each subject
were sorted according to RT within 6 equally-spaced percentile bins. Performance and minimum RT for each bin
were averaged across participants (blue Xs). After generating 1,000 choice-RT pairs from each subject's best-fitting
parameters within each model, the same procedure was used to calculate CAFs for each model (gray lines).

*Figure 12* shows observed and predicted CAFs for high-ELI participants in the congruent
condition. The observed data demonstrates a higher proportion of errors for shorter compared to
longer RTs. Neither of the time models are able to predict fast errors in the congruent condition.
While the cognitive control-driven attentional system allows the FFI control model to predict fast
errors, these processes in combination with a strongly-correlated accumulator structure result in
an overprediction of slow errors. The LCA control model, however, is able to predict fast errors
without inappropriately predicting slow errors as well.

818



*Figure 12: Observed and predicted CAFs for congruent trials across high-ELI participants.* Data from each subject were sorted according to RT within 6 equally-spaced percentile bins. Performance and minimum RT for each bin were averaged across participants (blue Xs). After generating 1,000 choice-RT pairs from each subject's best-fitting parameters within each model, the same procedure was used to calculate CAFs for each model (gray lines).

819

## 825 **3.1.3 Discussion**

The results of Experiment 1 demonstrate strong mimicry between models, but showed overall 826 better fits for models with control-driven attentional mechanisms compared to time-driven 827 alternatives as determined by our BPIC comparison. In interpreting the BPIC results, it is 828 important to remember that these calculations favor less complex models. With 8 free 829 parameters, it is therefore notable that the LCA control model outperformed the more 830 parsimonious alternatives in a substantial number of cases. For the 4 instances in which the more 831 parsimonious FFI time model was the winning model, it appears that the improvements in fit 832 afforded by the more flexible models were not substantial enough to justify the additional 833 complexity. The *most* complex model was the DSTP with 9 free parameters, and its flexibility 834 resulted in 7 wins. For a majority of subjects, however, the added complexity did not improve 835 the fits over what the other models could provide, and the model barely performed better than 836 FFI time on average. Interestingly, the conflict models provided better fits than the time+noise 837 models in almost all cases, indicating that conflict mechanisms themselves are tapping into an 838

aspect of the data beyond improvements resulting from additional noise. Because each model 839 makes the standard predictions for choice-RT distributions equally well, ELI and CAF analyses 840 allowed us to investigate the predictions of the models at a finer granularity than what choice-RT 841 summarizations could provide. Among the FFI time, LCA time, FFI control, and LCA control 842 models, only LCA control could predict patterns of fast and slow errors in each condition that 843 varied by subject. Although Experiment 1 has provided tentative evidence that cognitive control, 844 rather than time alone, underlies attention processes in the flanker task, the data as a whole did 845 not provide a strong dissociation between FFI and LCA mechanisms for interactions between the 846 accumulators when considering general effects across subjects. 847

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#### 849 **3.2 Experiment 2**

Because the results of Experiment 1 did not favor strongly-correlated FFI or weakly-correlated 850 LCA evidence accumulation mechanisms, we next fit the models to data from a task that we 851 believed would challenge these alternative hypotheses. In the standard flanker task, the nature of 852 the arrow stimuli results in an equal amount of perceptual strength for each item in an array, and 853 evidence for a left response is equal and opposite to evidence for a right response. As such, it is 854 not surprising that both FFI and LCA accumulation dynamics were able to capture the data 855 equally well. In Experiment 2, we opted to test the models under task conditions in which the 856 perceptual strength of the flanker items was not necessarily equal to that of the target. The task, 857 designed and administered by Servant et al. (2014), required participants to indicate the color of 858 a target circle amid flanker circles of a congruent or incongruent color. As a manipulation of 859 relative perceptual strength, the color saturation of the target circle varied from trial to trial while 860 the saturation of flanker circles was held constant. Due to the strongly-correlated behavior of the 861

accumulators in the FFI models, we predicted that the FFI models would be less capable of
capturing the observed patterns of choices and RTs across conditions in this task relative to LCA
models. Our hypothesis is in line with recent work showing that models with strongly-correlated
accumulators fail to capture observed patterns of data across a range of equal- and unequalevidence task conditions (Kirkpatrick, Turner, & Sederberg, submitted).

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# 868 **3.2.1 Method**

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## 870 **3.2.1.1 Procedure**

The data set used in the present investigation was collected at Aix-Marseille University by 871 Servant et al. (2014). The paradigm and methods of the study are summarized here, but the 872 reader is directed to the original paper for further details. Participants were shown arrays of 873 circles, and were asked to respond as to whether the color of the center circle was red or blue. 874 After providing informed consent, participants received instructions, completed a practice block, 875 then began the task. Each trial began with the appearance of three circles, which remained on the 876 screen until participants responded with a maximum duration of 1500 ms. After the stimulus was 877 removed from the screen, there was an inter-trial interval of 1500 ms. Color-mappings were 878 counterbalanced between participants, such that half of the participants were instructed to 879 respond 'left' to a red target and 'right' to a blue target, and the other half were instructed to 880 respond 'right' to a red target and 'left' to a blue target. 881

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## **3.2.1.2 Stimuli and apparatus**

Participants completed 24 blocks of the task, each block containing 96 trials (2,304 trials in total). Stimuli were presented using PsychoPy software (Peirce, 2007) on a CRT color monitor with a refresh rate of 100Hz. Flanker circles could be the same color (congruent) or a different color (incongruent) relative to the target. Importantly, the color saturation of center target circles varied from trial to trial within six conditions (degrees of suprathreshold saturation levels: 15%, 25%, 35%, 45%, 60% and 80%), while the color saturation of flanker circles was held constant at 80%. Task condition (congruent or incongruent), target hue (red or blue), and target color saturation (6 levels) were counterbalanced within block. Stimuli appeared along the horizontal midline of a black field. To respond, participants made left or right button presses with their corresponding thumb. Buttons were set atop plastic hand grips that were 3 cm in diameter and 7 cm in height, with 20 cm in between. Examples of the stimuli are provided in Figure 13, based on Figure 2 in Servant et al., 2014. 



*Figure 13: Examples of stimuli used in Experiment 2, based on Figure 2 in Servant et al., 2014.* Each stimulus
consisted of a target circle (red or blue), flanked by two circles of an incongruent (*Left column*) or congruent (*Right column*) color. Targets varied in saturation between 15 and 80% (*rows*) while the color saturation of the flankers was
held constant at 80%. While only stimuli with red targets are shown here, the paradigm was counterbalanced so that
50% of stimuli featured a blue target.

## 914 **3.2.1.3 Participants**

Twelve students provided informed consent in accordance with the Declaration of Helsinki, and participated in the study in exchange for 10€/hour. Participants had normal or corrected-to-

<sup>917</sup> normal vision and normal color vision.

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## 919 **3.2.1.4 Model-fitting**

Prior to fitting the models, we first needed to make adjustments to the models to accommodate the conditions of the target saturation manipulation. Following the example of Servant et al. (2014), we made the assumption that the p parameter, representing perceptual input strength that behaves within the SSP as a scalar on the spotlight, was the logical candidate for tracking the perceptual strength of each item in the stimulus array. We therefore modified all models of

interest to include six separate values of p representing the six conditions of target saturation 925 included in the experiment. Drift rates  $\rho_1$  and  $\rho_2$  for each accumulator were calculated via the 926 following modifications to *Equations 1 and 2*: 927  $congruent: \rho_2 = p_C a_{target} + 2p_{0.80} a_{flanker}; \rho_1 = 0$ 928 (3) incongruent :  $\rho_2 = p_C a_{target}$ ;  $\rho_1 = 2p_{0.80} a_{flanker}$ 929 (4) where  $C \in \{0.15, 0.25, 0.35, 0.45, 0.60, 0.80\}$  and was selected depending on the color 930 saturation of the target in each trial. In Equations 3 and 4, *a<sub>flanker</sub>* was always scaled by *p*0.80 931 since the color saturation of flanker stimuli was held constant at 80% across trials. Values of  $p_C$ 932 were constrained so that  $p_{0.15} \leq p_{0.25} \leq p_{0.35} \leq p_{0.45} \leq p_{0.60} \leq p_{0.80}$ . In each model, the vector 933 of values k such that  $k = [p_{0.15}, p_{0.25}, p_{0.35}, p_{0.45}, p_{0.60}, p_{0.80}]$  was calculated via a sigmoidal 934 935 function

$$k_i = \frac{a}{1 + e^{-c(h_i - b))}}$$

where h = [0.15, 0.25, 0.35, 0.45, 0.60, 0.80] and *a*, *b*, and *c* were free parameters. We decided on this parameterization because we assumed perceptual input strength values of *PC* varied monotonically as a function of perceptual strength, but did not have any strong hypotheses about the functional form of the relationship among them. The sigmoidal function provided an appropriate level of constraint while still being able to capture a wide variety of curves as illustrated in *Figure 14*.

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Figure 14: Range of sigmoid functions for calculating  $P_c$ . Sigmoid functions were implemented to capture the attention allocated to stimuli in the six saturation conditions in Experiment 2. Panel A shows the effect of modifying the *a* parameter while keeping *b* and *c* constant. Panels B and C similarly show the effects of modifying the *b* and *c* parameters respectively, while the other parameters are held constant.

Priors for parameters *a*, *b*, and *c* were selected to be mildly informative, and were defined as
follows:

<sub>952</sub>  $a \sim \mathcal{TN}(1, 10, 0, 20)$ 

953

 $b \sim \mathcal{U}(-1, 10)$ 

954

 $c \sim \mathcal{TN}(4, 10, 0, 30)$ 

Priors for all other parameters as well as all model fitting procedures were otherwise identical to
those described for Experiment 1. We modified the DSTP to include a sigmoid function for
fitting the target color saturation conditions as well. Details of the modified DSTP models are
included in the supplementary materials.

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3.2.2 Results

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| 966 | 3.2.2.1 Behavior  |
| 967 | Responses shorter than 150 ms were excluded from analyses and model-fitting (<0.01% of trials         |
| 968 | across subjects). Detailed behavioral results of Experiment 2 are presented in Servant et al.         |
| 969 | (2014). In summary, participants were significantly slower (t(11)=6.491, p<0.001) and less            |
| 970 | accurate (t(11)=-3.437, p<0.01) on incongruent trials relative to congruent, across target color      |
| 971 | saturation conditions. Participants were also significantly slower (15% saturation - 80%              |
| 972 | saturation: t(11)=11.583, p<0.001) and less accurate (15% saturation - 80% saturation:                |
| 973 | t(11)=7.425, p<0.001) on lower saturation trials relative to higher saturation trials, and the effect |
| 974 | persisted both within incongruent (RT: t(11)=9.109, p<0.001; accuracy: 6.390, p<0.001) and            |
| 975 | congruent trials (RT: t(11)=11.646, p<0.001; accuracy: t(11)=7.571, p<0.001). <i>Table 3</i> contains |

<sup>976</sup> mean RTs and error rates in each condition of Experiment 2.

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Table 3: Average mean RTs (ms) and error rates (in parentheses) across participants for Experiment 2

|             |             |             | Target Sa   | aturation   |             |             |
|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Condition   | 15%         | 25%         | 35%         | 45%         | 60%         | 80%         |
| Incongruent | 477 (0.326) | 458 (0.224) | 443 (0.154) | 437 (0.132) | 425 (0.114) | 422 (0.107) |
| Congruent   | 449 (0.142) | 421 (0.081) | 410 (0.053) | 399 (0.043) | 391 (0.041) | 386 (0.047) |

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# 980 **3.2.2.2 Model fits**

BPIC values for each model were mean-centered within subject, and are shown as a heat map in *Figure 15*. The LCA control model was the winning model in 8 out of the 12 participants, the

<sup>983</sup> FFI control model was the winning model for 3 participants, and the DSTP was the winning

model for 1 participant. Accounting for the magnitude of the wins across subjects, the LCA 984

control model outperformed all alternatives, including FFI control, while the FFI time and DSTP 985 models fit the worst overall.



Figure 15: Heat map of BPIC values, mean-centered within-subject for Experiment 2. Each column corresponds to a 987 subject. Lower BPIC values (blue hues) indicate better model fits. The winning model for each subject is outlined in 988 989 black. Average mean-centered values across subjects are shown in the panel to the right.

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Figure 16 includes observed choice-RT distributions for each task condition (congruent and 991 incongruent) and target color saturation condition (low: 15%, 25%, 35% and high: 45%, 60%, 992 80%), averaged across participants. Mean distributions generated from each subject's best-fitting 993 parameters in our four main models of interest are shown as well. Similarly to the results of 994 Experiment 1 shown in Figure 7, the two control-based models provided better qualitative fits to 995 the RT distributions for correct responses, compared to the time-based models. This again 996 reflects the ability of the control-driven models to capture the nuanced differences in behavior 997 across subjects, specifically subject-level differences in fast and slow responses across conditions 998 due to the nature of the control signal. More importantly, Figure 16 shows that the FFI and LCA 999

models make drastically different predictions about the error RT distributions, particularly in the 1000 incongruent condition. While the LCA models are generally able to capture the peak and spread 1001 of the incongruent error RTs, the FFI models consistently predict a larger proportion of fast 1002 errors across target color saturation conditions than we observe in the data. This overprediction 1003 of fast errors is a natural consequence of the strongly-correlated evidence accumulation 1004 mechanism in the FFI models. The FFI models are able to predict different drift rates across 1005 saturation conditions due to differences in the perceptual input strength scaling parameters  $(p_c)$ , 1006 and are therefore able to capture the general pattern of faster correct responses for high target 1007 saturation trials. Because of the strongly-correlated evidence accumulation mechanism, however, 1008 faster positive drift rates for one accumulator result in correspondingly faster *negative* drift rates 1009 for the other. As such, the FFI models are limited in their ability to concurrently capture 1010 observed RTs for correct and error responses across all conditions. In contrast, the flexibility of 1011 the weakly-correlated evidence accumulation mechanism in the LCA models allow the models to 1012 seamlessly adapt to conditions of unequal perceptual strength between target and flanker stimuli. 1013



*Figure 16: Observed and model-generated choice-RT distributions.* Observed RT distributions for correct (light gray histograms) and incorrect (dark gray histograms) responses were averaged across participants. Models were simulated 10,000 times for each condition, using each participant's best-fitting parameters. Lines show average model-generated distributions across participants. Distributions generated by the FFI time and FFI control models are shown in the *left panel*, and distributions generated by the LCA time and LCA control models are shown in the *right panel*. Choice-RT distributions for low target saturation trials are shown in the *top row* and high saturation trials are shown in the *bottom row*.

## 1022 **3.2.3 Discussion**

We hypothesized that the flanker saturation manipulation in Experiment 2, in which targets and flankers differed in perceptual strength from trial to trial, would cause models with strongly- and weakly-correlated evidence accumulation mechanisms to make contrasting predictions. Because an increase evidence for one choice option results in an equivalent decrease in evidence for the other choice, the FFI models do not predict any mechanistic differences for how a participant processes stimuli across different target saturation conditions. These models, therefore, depend

on the values of the perceptual input strength scalars  $p_c$  to capture any behavioral differences 1029 between high- and low-saturation target conditions. As shown in Figure 16, however, the FFI 1030 models were only able to approximate RTs for correct responses at the expense of the error 1031 distributions--both the FFI time and the FFI control models predicted faster error RTs in the 1032 incongruent condition. The LCA models were more successful overall compared to the FFI 1033 models at fitting the shapes of all choice-RT distributions across saturation and congruency 1034 conditions, suggesting that the flexibility afforded by a weakly-correlated evidence accumulation 1035 structure is necessary for fitting these data. 1036

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Consistent with the results of Experiment 1, models with control-based attention mechanisms 1038 provided better fits to the data compared to time-based alternatives. Despite being the most 1039 complex model in our comparison with 14 free parameters (compared to 7 in FFI time, 8 in FFI 1040 time+noise and FFI control, 9 in LCA time, and 10 in LCA time+noise and LCA control), the 1041 DSTP provided the worst quantitative fits as determined by BPIC. We included the DSTP in the 1042 current project to test our control-based attention mechanism against an alternative decision-1043 based mechanism. The results of Experiments 1 and 2 indicate that our control-based mechanism 1044 strikes a more effective balance between flexibility and parsimony than the DSTP. 1045 Taken together, the results of Experiment 2 indicate that both LCA evidence accumulation 1046 mechanisms and control-driven attention mechanisms are necessary for appropriately predicting 1047 behavior under conditions of differing perceptual strength. 1048

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## 1052 **3.3 Experiment 3**

Our main motivation for the current project was to develop a neurally-plausible mechanism for 1053 modulation of attention within-trial. Our theory, which we operationalized via our cognitive 1054 control-based models, is that modulation of attention is an emergent property of the dynamics of 1055 the decision process. While we do find evidence for cognitive control-based processes across 1056 Experiments 1 and 2 by fitting our models to behavioral data alone, we wished to determine 1057 whether our model-generated signal for cognitive control actually maps onto an observable, 1058 within-trial signal in the brain. In Experiment 3, we collected EEG data alongside the same 1059 standard flanker task administered in Experiment 1 and designed a latent input joint modeling 1060 analysis to gain insight into the within-trial processes that we could not observe from behavior 1061 alone. Based on the results of Experiments 1 and 2, we predicted that LCA mechanisms in 1062 combination with control-based attentional mechanisms would most effectively track latent EEG 1063 measures. 1064

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#### 1066 **3.3.1 Method**

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## 1068 **3.3.1.1 Procedure and EEG acquisition**

Participants completed a standard flanker task that was identical to the one administered in
Experiment 1. After providing written informed consent, participants were fitted with an elastic
cap embedded with 64 Ag-AgCl active scalp electrodes arranged in an extended 10-20 array
(BrainProducts GmbH, Munich, Germany), and seated in an electrically-shielded, soundattenuated testing room. Participants were asked to turn off all electronic devices and leave them
outside of the testing room before the experiment began. The EEG signal was sampled at a rate

of 1000 Hz via a DC-powered actiCHamp amplifier connected to a desktop PC. The ground 1075 electrode was located at Fpz and the reference was set to the average of mastoid electrodes TP9 1076 and TP10 during recording. Electrode impedances were reduced to less than 25K ohms via 1077 application of electrolyte gel as recommended by the equipment manufacturer. Instructions for 1078 the task appeared on the computer screen, and were read aloud by the experimenter. Participants 1079 were given the opportunity to take breaks from the task in between task blocks, but remained 1080 seated in the testing room throughout. During each trial, a fixation cross appeared in the center of 1081 the screen for 1000 ms before being removed. The trial stimulus then appeared on the screen 1082 after a jittered duration of 100-900 ms. Participants responded by pressing the 'J' key on the 1083 keyboard if the arrow in the center of the array pointed left, and the 'K' key if the center arrow 1084 pointed right. Participants were asked to respond with their right forefinger and right middle 1085 finger respectively. Only responses made 150 ms after the stimulus appeared were recorded, and 1086 the stimulus was removed from the screen immediately after the participant made a valid 1087 response. Participants were given an unlimited amount of time to respond, but were instructed to 1088 respond as quickly and accurately as possible. EEG signal was monitored by the experimenter 1089 throughout the session for abnormalities using PyCorder software (BrainProducts GmbH, 1090 Munich, Germany) on the acquisition PC. 1091

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## 1093 **3.3.1.2 Stimuli and apparatus**

Stimuli were presented and recorded via a desktop PC equipped with Linux OS connected to a
24" LCD display with a refresh rate of 120Hz. As in Experiment 1, stimuli were presented via a
custom program in SMILE. Stimuli were presented in white text on the horizontal midline of a
dark gray field. Arrays on each trial consisted of a central target arrow pointing left or right,

| 1098 | accompanied by 3 flanker items to the left and right that could be congruent (same direction),     |
|------|--|
| 1099 | incongruent (opposite direction) or neutral (lowercase 'o' characters) relative to the target.     |
| 1100 | Participants completed 20 blocks of the task, each block containing 48 trials that were            |
| 1101 | counterbalanced by condition (congruent, incongruent, neutral) and target direction (left, right). |
| 1102 | In total, each participant completed 960 trials.   |
| 1103 |  |
| 1104 | 3.3.1.3 Participants   |
| 1105 | 8 right-handed participants who were fluent in English were recruited from The Ohio State          |
| 1106 | University, and were compensated at a rate of \$10/hour. All participants provided informed        |
| 1107 | consent in accordance with the requirements of the Institutional Review Board at the university.   |
| 1108 |  |
| 1109 | 3.3.1.4 Model fitting  |
| 1110 | Models were fit to behavioral data only, using procedures identical to those described in the      |
| 1111 | methods for Experiment 1.  |
| 1112 |  |
| 1113 | 3.3.1.5 EEG preprocessing  |
| 1114 | All EEG preprocessing was completed using custom functions in the software package Python          |
| 1115 | Time Series Analysis (PTSA; https://github.com/compmem/ptsa). Data were filtered at 30 Hz to       |
| 1116 | eliminate low-frequency noise, and were resampled to 100 Hz to match the time step parameter       |
| 1117 | <i>dt</i> used in our model-fitting procedure. We employed wavelet-enhanced independent component  |
| 1118 | analysis (wICA; Castellanos & Makarov, 2006) to remove artifacts from eye-blinks and               |
| 1119 | saccades. Trials were segmented into epochs and time-locked to when the stimulus appeared on       |
| 1120 | the screen. Epochs were 2500 ms long beginning 500 ms before stimulus onset, and were              |
| 1120 | the screen. Epochs were 2500 ms long beginning 500 ms before stimulus o                            |

baseline-adjusted according to the mean voltage within a 200 ms pre-stimulus window. Epochs
were rejected if kurtosis exceeded 5.0 or if the amplitude range exceeded 100V (17% of all
trials).

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## 1125 **3.3.1.6 Model-based EEG analysis**

Given that the models in the current investigation make different predictions about the behavior 1126 of the spotlight within each trial, our goal was to determine which mechanism best mapped onto 1127 observed neural signals. As such, we used within-trial correlation analyses to assess the link 1128 between model-generated attention signal and EEG voltage at each channel. Here, the "attention 1129 signal" refers to vectors of time or cognitive control that contribute to the calculations of 1130 spotlight standard deviation throughout a trial. We first fit each model to behavioral data from 1131 each participant, and identified MAP estimates for each parameter. For each model, subject, and 1132 task condition, we generated 30,000 trials using best-fitting parameters. Each simulation 1133 generated a choice (correct or incorrect), RT, and vector of values representing drive to the 1134 attentional mechanism at each timestep during the decision process. For each observed response, 1135 we defined a selection window from RT - dt to RT + dt and identified the simulated 1136 responses that terminated therein for the relevant subject and task condition. Observed trials that 1137 matched fewer than 100 out of the 30,000 simulated trials in at least one model were discarded 1138 from further analyses (38.5% of trials). Despite excluding a large proportion of trials, 3,914 trials 1139 across participants were still included in our final analysis. Across simulated trials that matched 1140 a given observed trial, we calculated the mean attention signal value at each timepoint. The result 1141 was a single attention signal vector for each observed trial and model. We then identified the 1142 decision-relevant neural data on each trial. Once the EEG voltage data at each electrode was 1143

| 1144 | preprocessed and segmented into trial-level epochs as described in the methods, we defined a                 |
|------|--|
|      | au   |
| 1145 | decision-relevant window on each trial in between $\overline{2}$ after the stimulus appeared on the screen   |
|      | au   |
| 1146 | and $\overline{2}$ prior to the response being executed, where $\tau$ was the best-fitting non decision time |
| 1147 | parameter value from the model at hand. The next step was to determine the relationship between              |
| 1148 | model-generated attentional drive and EEG voltage at the within-trial level. For each trial,                 |
| 1149 | model, and electrode, we calculated the Pearson's r correlation between EEG voltage and                      |
| 1150 | attentional drive through time. We then performed a Fisher's Z-transform on the trial-level r                |
| 1151 | values at each electrode. P-values were calculated via one-sample t-tests at the level of each               |
| 1152 | electrode, where the null hypothesis was that trial-level Z values did not differ from 0.                    |
| 1153 | Significance was determined via the Benjamini-Hochberg procedure for adjusting for multiple                  |
| 1154 | comparisons, which entails a rank-ordering of p-values at each electrode and a sliding                       |
| 1155 | significance criterion (Benjamini & Hochberg, 1995). The result was a single EEG topography                  |
| 1156 | for each model, indicating the extent to which model-generated attentional drive significantly               |
| 1157 | correlates with trial-level EEG activity. Because the DSTP model does not contain a continuous               |
| 1158 | within-trial mechanism for attention modulation, we fit the DSTP to the behavioral data but did              |
| 1159 | not include it in the EEG analysis.  |

# **3.3.2 Results**

## **3.3.2.1 Behavior**

Responses shorter than 150 ms or longer than 2000 ms were excluded from analyses and modelfitting (<2% of trials across subjects). As in Experiment 1, neutral trials were excluded as well</li>
due to unforeseen perceptual pop-out effects. A summary of behavioral results is shown in *Table*

| 1167 | 4. We observed a similar pattern of results as in Experiment 1, specifically lower accuracy on       |
|------|--|
| 1168 | incongruent trials compared to congruent (t(7)=-6.652, p<0.001) and slower RTs for incongruent       |
| 1169 | trials compared to congruent (t(7)=4.935, p<0.05). We observed fast errors in both conditions,       |
| 1170 | but the RT difference between correct and error responses was only significant among                 |
| 1171 | incongruent (t(7)=-6.392, p< $0.001$ ) and not among congruent trials (t(6)= $0.187$ , p= $0.858$ ). |
| 1172 |  |

1173 Table 4: Average accuracy and mean RTs (ms) across participants for Experiment 3

| Condition   | Accuracy | All RT | Correct RT | Error RT |
|-------------|----------|--------|------------|----------|
| Incongruent | 0.936    | 738    | 756        | 486      |
| Congruent   | 0.990    | 552    | 553        | 520      |

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## 1175 **3.3.2.2 Condition-level EEG**

Stimulus-locked ERP results for correct responses in Experiment 3 replicated standard flanker 1176 effects (Kopp et al., 1996). In central-posterior electrode locations, an N2 peak occurred 340-400 1177 ms after stimuli appeared in the incongruent but not the congruent condition. We assessed 1178 significance by means of a non-parametric permutation test with threshold-free cluster 1179 enhancement (TFCE; S. Smith & Nichols, 2009). Each participant's data were randomly shuffled 1180 500 times with replacement, and we performed a 1-sample t-test at the level of each participant, 1181 electrode, and time point within-trial, where the null hypothesis was that there was no difference 1182 in voltage between congruent and incongruent trials. Using a critical family-wise error threshold 1183 of p=0.05, we identified one cluster encompassing electrodes CP1, Cz, CPz, and P1 at time 1184 points between 350 and 380 ms post-stimulus at which the voltage difference between the 1185 congruent and incongruent conditions was significant. Topographic plots and grand average ERP 1186 waveforms at CPz for the condition-level comparison are shown in Figure 17. 1187



*Figure 17: Condition-level EEG results for Experiment 3*. Topographic maps show voltage differences between congruent and incongruent conditions at 370 ms post-stimulus, before (*Panel A*) and after (*Panel B*) threshold-free cluster enhancement (TFCE). *Panel C* shows grand average ERP stimulus-locked waveforms for congruent and incongruent trials at electrode CPz. Significant condition-level differences as determined by TFCE are shown as green points.

## 1194 **3.3.2.2 Model fits to behavior**

Because we used the same task paradigm in Experiment 3 as in Experiment 1, we expected to observe the same patterns in our model fits. Indeed, goodness-of-fit as measured by BPIC values replicated the mixed results we observed in Experiment 1. When we calculate the average meancentered BPIC values across subjects, the LCA control model outperforms the alternatives (average mean-centered BPIC=-51.0) with the FFI control model coming in second place (average mean-centered BPIC=-28.7). A heatmap showing the full goodness-of-fit results is included in the supplementary materials.

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## 1203 **3.3.2.3 Model-based EEG results**

Using data generated from each model, we calculated correlations between the signals
controlling the width of the attentional spotlight (e.g. time, time+noise, or cognitive control) and
EEG voltage during the decision. Because our current investigation was intended to bridge the

| 1207 | gap between neurally plausible mechanisms in connectionist models and within-trial mechanisms      |
|------|--|
| 1208 | in SSMs, we were interested in seeing if any of our models generated attention mechanisms that     |
| 1209 | mapped onto an observed within-trial neural signal. Figure 18 illustrates the foundation of our    |
| 1210 | model-based EEG analysis. Visually, we observe that the control models generate attention          |
| 1211 | signals that gradually increase through time and begin to stabilize before a decision is made,     |
| 1212 | similar to the EEG signals. The time and time+noise models both predict more linear signals.       |
| 1213 | The time+noise models are able to predict variability in the rate of signal increase depending on  |
| 1214 | the duration of the decision, but the time models predict an identical trajectory of the attention |
| 1215 | signal on every trial.   |
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Model-generated attention modulation signals



*Figure 18: Observed EEG voltages and model-generated attention modulation signals.* Data and simulations are shown for one subject. Analyses were completed at the level of every trial and electrode, but for the purposes of this visualization, EEG voltages were averaged across electrodes that demonstrated the highest correlation with modelgenerated attention signals (TP8, P2, C6, CP6, CPz, Pz, FC6, C2, CP1, T8, P1, P4, FC4). Data were divided into three bins based on three equal RT percentiles. Vertical lines represent the boundaries of the decision-relevant interval between stimulus onset and the mean RT within-bin, limited by the mean best-fitting *t*0 across models.

1237 Mean Z values across trial-level correlations between EEG voltage and model-generated

attention modulation signals at each electrode are illustrated as topographic plots in *Figure 19*.

All 6 models predicted attentional mechanisms that were most correlated with EEG activity at right-posterior electrode locations. Out of all of the models, only the correlations between attentional mechanisms in the LCA control model and EEG activity were statistically significant (critical value = 0.1; electrodes TP8, P2, C6, CP6, CPz, Pz, FC6, C2, CP1, T8, P1, P4, FC4).



1243

*Figure 19: Mean Z correlation maps for observed EEG data and model-generated attention modulation signals.*Data were generated by each model using each participant's best-fitting parameters. For each trial, we calculated an
average vector of drive to the attention mechanism through time using each model's simulations. Trial-level
correlations between EEG voltage and model-generated attention were calculated. Pearson's r values were Fisher's
Z-transformed, and p values were calculated for each model and electrode using a 1-sample t-test. Significance was
determined via Benjamini-Hochberg correction for multiple comparisons, and are indicated by yellow points.

To observe differences in model predictions of attention modulation and how they relate to neural signals, we calculated the pairwise differences in model-EEG correlations at the level of each trial, and then calculated means at each electrode. Three comparisons yielded significant

| 1254 | electrode-level differences: LCA control vs. FFI control (C4, C2, C1, C3, CP4, CP2, CPz, CP1,        |
|------|--|
| 1255 | CP3, P1, Pz, P2, P4), LCA control vs. FFI time (FC2, FCz, FC1, FC3, C4, C2, Cz, C1, C3, C5,          |
| 1256 | CP4, CP2, CPz, CP1, CP3, CP5, P3, P1, Pz, P2, P4, POz, PO3, Oz) and LCA control vs. FFI              |
| 1257 | time+noise (FC4, FC2, FC2, FC1, FC3, C6, C4, C2, Cz, C1, C3, C5, CP6, CP4, CP2, CPz, CP1,            |
| 1258 | CP3, CP5, P6, P5, P3, P1, Pz, P2, P4, PO4, POz, PO3, Oz). Topographic plots in Figure 20 show        |
| 1259 | that increased correlations between EEG voltage and attention modulation in LCA control,             |
| 1260 | relative to the predictions of the other models, are widespread across the scalp. All other pairwise |
| 1261 | difference maps are shown in the supplementary materials.  |



*Figure 20: Mean Z correlation difference maps for observed EEG data and model-generated attention modulation signals.* After calculating Z correlation values for each model and each electrode, we calculated the pairwise
difference topographic maps for each possible pair of models. P values were calculated for each model comparison
and electrode using a 1-sample t-test. Significant correlation differences were identified using a Bejamini-Hochberg
correction for multiple comparisons, indicated by yellow points.

1267

## 1268 **3.3.3 Discussion**

Because we were interested in developing a neurally plausible model of the flanker task, we

- wanted to test whether the attention mechanisms in any of our models resembled the fluctuations
- of within-trial neural signals as measured by EEG. Attention mechanisms in all models were
- most correlated with EEG activity in right-posterior regions, as shown in *Figure 19*, but only the

LCA control model yielded significant correlation results. This is an interesting pattern of 1273 findings in light of previous EEG studies designed to probe the spotlight view of spatial 1274 attention, which often reported attentional correlates at posterior electrodes as well (Awh, Anllo-1275 Vento, & Hillyard, 2000; Busch & VanRullen, 2010; Handy, Soltani, & Mangun, 2001). These 1276 studies, however, tended to observe attention-related activity at central-posterior electrodes, and 1277 lateralized effects only occured when stimuli appeared in the edges of the visual field (Hillyard, 1278 Teder-Sälejärvi, & Münte, 1998; Mangun & Hillyard, 1988; M. Müller, Malinowski, Gruber, & 1279 Hillyard, 2003). For example, Mangun and Hillyard (1988) investigated the hypothesis that early 1280 sensory-evoked peaks would reflect a spotlight-like filtering of information. The authors 1281 identified gradual decreases in P1 and N1 amplitudes that varied as a function of distance 1282 between attended and evoking stimuli. These effects were specifically observed in posterior 1283 electrode locations, contralateral to the screen location of the attended stimuli. Because stimuli 1284 were only presented in the center of the screen in our paradigm, we believed our right-lateralized 1285 results could reflect contamination by motor effects given that participants made all responses 1286 with the right hand. Because this would result in strong motor-related activity in the left 1287 hemisphere, it potentially obfuscated the attention-related activation. It is nevertheless notable 1288 that only the LCA control model generates a within-trial attention modulation signal that 1289 significantly correlated with the gradual ramp-up and relaxation of neural amplitudes at 1290 attention-relevant locations on the scalp. 1291

1292

We calculated the pairwise differences maps shown in *Figure 20* for two purposes: 1) to cancel out the motor effects that could have affected each individual model-based EEG analysis, and 2) to observe how each model compared to the others in terms of generating a neurally plausible

| 1296 | attention modulation signal. Specifically for comparisons involving the LCA control model, we   |
|------|---|
| 1297 | identified large differences in correlation means that were widespread across the scalp. This   |
| 1298 | implies that the LCA control model was able to generate within-trial signals that resemble the  |
| 1299 | general time course of EEG voltages better than the alternative models. While we do not make    |
| 1300 | any strong claims here about the LCA control model capturing any specific neural processes, the |
| 1301 | results of Experiment 3 support the notion that the mechanisms in the LCA control model behave  |
| 1302 | in a way that is in line with observed voltage time courses in the brain.                       |

- 1303
- 1304 **4** General discussion
- 1305

## 1306 **4.1 Summary**

In the current project, we presented a mechanistic theory of cognitive control in which within-1307 trial modulation of attention is a byproduct of interacting decision processes. We tested our 1308 theory by developing a set of SSMs, each making alternative assumptions about evidence 1309 accumulation and attention modulation mechanisms. Models included time-based attention 1310 processes like the existing flanker SSMs, or control-based attention mechanisms inspired by the 1311 connectionist models (i.e. Botvinick et al., 2004; De Pisapia & Braver, 2006; Verguts 2017). 1312 Because the control-based models calculate attention modulation from the noisy accumulators 1313 while the time-based models operate in a strictly linear manner, we also included model variants 1314 that calculate attention based on time with additional random noise. When specifying the 1315 evidence accumulation processes in our models, we developed models with either strongly-1316 correlated accumulators defined by FFI mechanisms, or weakly-correlated accumulators defined 1317 1318 by LCA mechanisms. These two mechanisms represent different hypotheses about the neural

underpinnings of the decision process: the former assumes decisions are based on the difference 1319 in firing across populations of neurons, and the latter assumes decisions are based on the 1320 competition between the two most active populations of neurons. Though the competing 1321 hypotheses concerning attention modulation and evidence accumulation were implemented and 1322 compared within the SSP model, we fit the DSTP model as an additional point of comparison as 1323 well. The DSTP presents an alternative mechanistic explanation for decision-guided attention, in 1324 which response selection processes are conditionally dependent upon the outcome of stimulus 1325 selection processes. Across three experiments, we found evidence that weakly-correlated LCA 1326 mechanisms in combination with dynamic, control-guided attention modulation mechanisms 1327 best-accounted for the data in each task condition. 1328

1329

In Experiment 1, we fit the models to data from a standard flanker task. While all models fit the 1330 data well, the two control-based models provided the best fits as determined by BPIC. Further 1331 insights from ELI and CAF analyses revealed that the LCA control model was particularly 1332 effective at capturing nuanced differences in performance between subjects, including slow 1333 errors in the incongruent condition and fast errors in the congruent condition. To hone in on the 1334 mechanistic assumptions of the FFI and LCA mechanisms, Experiment 2 featured a 1335 manipulation of target color saturation. Because the FFI models assume that an increase in 1336 evidence for one response requires a decrease in evidence for the other, we found that the FFI 1337 models overestimated the speed of error distributions across conditions. The LCA models, and 1338 particularly the LCA control model, were more flexible and therefore able to capture behavior 1339 under conditions where targets and flankers differed in perceptual strength. In Experiment 3, we 1340 collected EEG data alongside a standard flanker task in an effort to determine if any of our 1341

model-generated attention modulation signals resembled within-trial processes in the brain.
Using latent input joint modeling analyses, we found that the within-trial control signal generated
by the LCA control model uniquely mapped onto the time course of EEG voltages in between
stimulus onset and response. In an effort to summarize fit results across experiments, *Figure 21*illustrates across-subject rank order sums, normed within experiment such that lower values
indicate more wins. Considering our results together, the LCA control model was the best-fitting
model compared to all other alternatives.



*Figure 21: Rank order sums of BPIC values for each model and experiment.* The best-fitting model for each subject
and experiment as determined by BPIC was assigned a rank of '1', the second best model was ranked '2,' and so on.
Rank values were summed within-experiment and normed based on the number of subjects in each experiment.
Black points indicate mean normed rank order sums across experiments.

1353

## **1354 4.2** Interpretation of results

1355 In the current project, we aimed to address a gap in the literature concerning within-trial

mobilization of cognitive control and modulation of attention. Several dominant theories suggest

that cognitive control operates on multiple timescales to appropriately focus attention on goal-

relevant information while also conserving cognitive resources (Braver et al., 2008; e.g. J. Brown 1358 et al., 2007; Davelaar, 2008). These theories have often been operationalized within 1359 connectionist models, which feature biologically-inspired mechanisms for engaging cognitive 1360 control as a direct response to mutual activation of multiple choice units. Connectionist models, 1361 however, typically include within-trial mechanisms only en route to explaining between-trial 1362 effects, such as improved accuracy on flanker trials immediately following errors. Theories 1363 specifically designed to explain trial-level effects, such as fast errors in the incongruent flanker 1364 task condition, have instead been implemented within the SSM framework as variants of the 1365 single-accumulator DDM (Hübner et al., 2010; Ulrich et al., 2015; White et al., 2011). These 1366 models make specific predictions about attention processes that vary as a function of time, and 1367 mutually-inhibitory evidence accumulation mechanisms. Here, we introduced an SSM in which 1368 modulation of attention via cognitive control occurs as an emergent property of the dynamics of 1369 the decision process. Our model draws upon neurally-plausible mechanisms from connectionist 1370 models such as continuously-updated cognitive control and flexible evidence accumulation 1371 mechanisms, but was implemented in an SSM framework to allow for trial-level data-fitting and 1372 quantified model comparisons. 1373

1374

Despite being designed to fit data from tasks that present conflicting information for two possible options, the existing flanker SSMs do not include mechanisms for tracking or modulating parameters based on mutual activation of two options. Changes to drift rate occur as a function of time, regardless of the state of competition between the two choice alternatives. By considering only the difference in activation of the two choices, these models are potentially missing an important piece of the story concerning how the brain recruits cognitive control.

Furthermore, the single-accumulator structure of the flanker SSMs make the powerful 1381 assumption that an increase in evidence for one choice results in a decrease in evidence for the 1382 other. Given the assertion that inhibitory control decisions involve two separate routes of 1383 processing, automatic and controlled, it may be overly constraining to assume that evidence 1384 accumulation between two choices is perfectly anticorrelated. By developing separate groups of 1385 models with strongly-correlated FFI mechanisms and weakly-correlated LCA mechanisms, we 1386 aimed to directly test and compare competing hypotheses about how the brain represents 1387 competing information in inhibitory control tasks. While both FFI and LCA models were able to 1388 capture general behavior in a standard flanker task as shown by the results of Experiment 1, LCA 1389 processes were important for capturing subject-level differences in performance. The perceptual 1390 strength manipulation in Experiment 2 further dissociated the predictions of the FFI and LCA 1391 models. Models with FFI mechanisms failed to appropriately capture error distributions for 1392 incongruent trials across target saturation conditions, while the flexibility of the LCA models 1393 resulted in more successful fits. Together, these findings may suggest that decisions on inhibitory 1394 control tasks may be based on the direct competition between choice options as represented by 1395 weakly-correlated mechanisms in the LCA model, rather than the difference between them. Our 1396 results seem to stand in contrast to recent findings from a stop-signal study, which found that 1397 perfect negative dependence between racing accumulators predicted aspects of observed 1398 behavior better than independent accumulators (Colonius & Diederich, 2018). This, perhaps, is 1399 indicative of mechanistic differences between 2-alternative choices and go-nogo choices, or 1400 indicates that accumulator dependence exists as a gradient and manifests differently from task to 1401 task as has been suggested in the past (P. Smith & Ratcliff, 2004). Because it has been shown 1402 that the LCA model can mimic a standard DDM under conditions of balanced leak and lateral 1403

inhibition (Bogacz, Brown, Moehlis, Holmes, & Cohen, 2006), the most parsimonious
assumption favors the model that is flexible enough to capture all observed patterns in the data.

We hypothesized that within-trial attentional mechanisms were based on some element of the 1407 decision process rather than the mere passage of time. As such, we defined sets of models with 1408 attention mechanisms driven by time like the original SSP, models driven by time with added 1409 variability, and models driven by cognitive control which was calculated from the accumulators 1410 at each timestep within the decision process. In Experiment 1 and even more strikingly in 1411 Experiment 2, the control models outperformed the time-based models in terms of fits to 1412 behavioral data. It is important to note that the control models consistently fit the data better than 1413 time models with added variability, indicating that control mechanisms were tapping into a 1414 signal present in the data beyond random noise. In Experiment 3, this contention was reinforced 1415 by model-based EEG findings, indicating that the LCA conflict model was the only one with a 1416 time course of visual attention mechanisms that significantly correlated with within-trial EEG 1417 voltage. 1418

1419

Our findings provide a model-based, mechanistic complement to recent neuroimaging work that has investigated attention processes within-trial. One study recorded EEG data while participants completed a variant of the flanker task with a manipulation of visual probe locations. Probes were presented at different distances from the target on each trial in order to force modulation of the visual field (Nigbur et al., 2015). N1 ERP amplitudes, which have been shown to be an index of spatial attention (Heinze et al., 1994; Mangun & Hillyard, 1988), provided evidence that conflict resolution on incongruent trials occurred mainly via target enhancement, not distractor
suppression. The critical difference between Nigbur et al.'s findings and our own is that the N1 1427 ERP reflects early perceptual processing 150-200 ms after stimulus onset (Haider, Spong, & 1428 Lindsley, 1964), which is distinct from decision-related processes of interest in the current study. 1429 Considering the two sets of results together, it is possible that initial stimulus-processing in the 1430 spotlight framework of attention depends on target enhancement only, but that higher-order 1431 decision processes require additional distractor suppression mechanisms. Indeed, previous 1432 studies in EEG (Philiastides, Ratcliff, & Sajda, 2006; VanRullen & Thorpe, 2001) have shown 1433 that visual processing and decision-making reflect distinctly different mechanisms. Philiastides 1434 and colleagues (2006), for example, recorded EEG data while participants indicated either the 1435 color or category of stimuli with different levels of phase coherence. The researchers showed 1436 that a negative ERP at 170 ms post-stimulus onset reflected identification of the goal-relevant 1437 feature in a trial (color vs. category), and that later ERPs reflected components of the decision 1438 process (red vs. green or face vs. car). Importantly, only the late ERP components reflected trial-1439 level difficulty or conflict between the two competing choice options. Nevertheless, further work 1440 is needed to understand the possible dissociation between perceptual processing and decision-1441 relevant computations in the presence of conflict. 1442

1443

Despite converging findings across three experiments, the current study is not without limitations. First, we mathematically defined within-trial cognitive control as the cumulative distance between total evidence and a conflict threshold. We defined this function based on the DMC framework of Braver and colleagues (Braver, 2012; De Pisapia & Braver, 2006), in which cognitive control increases within-trial until conflict is resolved, and then may decrease toward the end of a trial. Both of these properties were observed in neuronal firing patterns in the

conflict-relevant ACC during a recent single-unit recording study (Hunt et al., 2018). Our 1450 specific definition of the cognitive control function, however, may not be precisely correct in 1451 terms of representing drive to attentional mechanisms. For example, a related mechanism 1452 described by Yeung and colleagues (2004) calculated conflict as the product of activations across 1453 possible responses. Within the SSM framework, however, the product of activations would result 1454 in an unchanging attentional spotlight if one accumulator sporadically reached zero, which 1455 would be a frequent occurrence on congruent trials. While it seems possible that the attentional 1456 spotlight would not be necessary on congruent trials, Servant and colleagues (2014) compared 1457 the original SSP to a variant in which the spotlight only shrank on incongruent trials. The authors 1458 found that the alternative model provided worse fits to behavioral data compared to the original 1459 model, and was specifically unable to capture the range in performance across subjects in the 1460 congruent condition. Future work will investigate the nature of the cognitive control signal as it 1461 relates to the amount of evidence in the system at a given time. 1462

1463

A second limitation of the current investigation is that we investigated competing hypotheses 1464 within the SSP model. We made this choice despite results from other studies demonstrating that 1465 the SSP cannot capture patterns of data beyond the flanker task (notably, negative-going delta 1466 functions in the Simon task; Ulrich et al., 2015), and that a version of the SSP implemented in 1467 the LCA framework could not capture pre-motor partial error responses as measured by MEG 1468 (Servant et al., 2015). We believe with modifications such as those explored in the current 1469 project, the shrinking spotlight framework can indeed extend beyond what it was designed to 1470 capture. Preliminary investigations of extensions for the LCA control SSP model presented here 1471

are currently underway, specifically for tasks involving gradations of conflict outside of theflanker paradigm.

## **4.3 Conclusions**

In the current study, we sought to investigate the possibility of within-trial modulation of attention based on the dynamics of the decision process, within a modeling framework that is amenable to quantifiable comparisons. We systematically developed and compared models that featured time-based or control-based attention mechanisms, and strongly- or weakly-correlated evidence accumulation mechanisms. Across three experiments, we found that a flexible accumulator structure in combination with control-based attention processes provided the best fits to behavioral data. Additionally, we found that the within-trial attention modulation signal in the LCA control model uniquely correlated with neural signals in the brain. While we have focused on within-trial mechanisms in the current study, future work will investigate the possibility that the decision-related signals driving the within-trial effects of interest here can also result in between-trial effects, such that the end-state of cognitive control in one trial contributes to the starting point of the attentional spotlight on the next. 

| 1495 |             | Supplementary materials  |
|------|-------------|--|
| 1496 |             |  |
| 1497 | <b>S1</b>   | Dual-stage two-process (DSTP) model implementation   |
| 1498 |             |  |
| 1499 | <b>S1.1</b> | Experiments 1 and 3  |
| 1500 | The D       | STP model designed by Hübner and colleagues (2010) specifies two discrete stages of          |
| 1501 | visual      | processing: 1) an early stage for identifying simple stimulus features and perceptual        |
| 1502 | filterin    | ng, and 2) a late stage dedicated to processing the target. For reference, a diagram of the  |
| 1503 | DSTP        | model is provided in Figure 2 of the main manuscript. Stage 1 begins with two separate       |
| 1504 | diffus      | ion processes running in parallel, one representing the stimulus selection phase (which will |
| 1505 | be der      | noted "SS") and the other representing the response selection phase (which will be denoted   |
| 1506 | "RS1"       | ). Evidence accumulation within each phase was implemented as a stochastic differential      |
| 1507 | equati      | on:  |
|      |             |  |

$$dx = \rho \frac{dt}{\Delta t} + \xi \sqrt{\frac{dt}{\Delta t}}$$

Here, x is evidence and  $\rho$  is the drift rate. The degree of noise in the accumulation process is represented by  $\xi$ , a driftless Wiener process distributed as  $\xi \sim \mathcal{N}(0, 1)$ . To approximate this continuous differential equation, we used the Euler method to discretize time, choosing a step size of dt = 0.01, modified by a time constant of  $\Delta t = 0.1$ . The drift rate for SS is a free parameter ( $\rho_{SS}$ ) and the drift rate for RS1 is the sum of free parameters representing the strength of target and flanker stimuli, respectively:

$$\rho_{RS1} = \rho_{target} + \rho_{flankers}$$

where  $\rho_{target}$  is always positive and  $\rho_{flankers}$  is negative in the incongruent task condition and positive in the congruent task condition. In SS and RS1, evidence accumulated through time until

either process reached a decision threshold. Evidence accumulation processes were bounded between decision thresholds relevant to each phase ( $\alpha_{SS}, \alpha_{RS}$ ) and 0. Starting points for each phase were determined from proportions z of the relevant threshold, such that

$$x_{RS1}(0) = z_{RS1}\alpha_{RS}$$

 $x_{SS}(0) = z_{SS}\alpha_{SS}$ 

If  $x_{RS1}$  reached  $\alpha_{RS1}$  or 0 before  $x_{SS}$  reached  $\alpha_{SS}$  or 0, a response was made immediately 1523 with an RT equal to the sum of the duration of RS1 and non-decision time  $\tau$ . In RS1, crossing 1524 the  $\alpha_{RS}$  boundary meant the response corresponding to the target stimulus was selected, whereas 1525 crossing the 0 boundary meant the response corresponding to the flanker stimuli was selected. If 1526  $x_{SS}$  reached  $\alpha_{SS}$  or 0 before  $x_{RS1}$  reached  $\alpha_{RS}$  or 0, a stimulus is selected for further 1527 processing in Stage 2. In SS, crossing the  $\alpha_{SS}$  boundary indicated selection of the target for 1528 further processing, whereas crossing the 0 boundary indicated selection of the flankers for further 1529 processing. Response selection in Stage 2 (denoted "RS2") is another diffusion process with drift 1530 rate  $\rho_{RS2}$  and threshold  $\alpha_{RS}$ . The starting point  $x_{RS2}(0)$  of RS2 was the value of  $x_{RS1}$  at time t 1531 when  $x_{SS}$  reached a decision boundary.  $\rho_{RS2}$  was negative when the stimulus was incongruent 1532 and  $x_{SS}$  crosses 0. As in Stage 1, crossing the  $\alpha_{RS}$  boundary in Stage 2 meant the response 1533 corresponding to the target stimulus was selected, whereas crossing the 0 boundary meant that 1534 the response corresponding to the flanker stimuli was selected. The RT was equal to the sum of 1535 the durations of RS1 and RS2, and non decision time parameter  $\tau$ . Free parameters and priors in 1536 our implementation of the DSTP model are provided in Table S1. 1537

1538

1539

| Parameter        | Description                   | Prior                                 |
|------------------|-------------------------------|---------------------------------------|
| $ ho_{target}$   | drift rate, RS1, target       | $\mathcal{TN}(1.0, 4.0, 0.0, 10.0)$   |
| $ ho_{flankers}$ | drift rate, RS1, flankers     | $\mathcal{TN}(1.0, 4.0, 0.0, 10.0)$   |
| $ ho_{SS}$       | drift rate, SS                | $\mathcal{TN}(0.0, 4.0, -10.0, 10.0)$ |
| $ ho_{RS2}$      | drift rate, RS2               | $\mathcal{TN}(1.0, 4.0, 0.0, 10.0)$   |
| $lpha_{RS}$      | decision threshold, RS1 & RS2 | $\mathcal{TN}(2.5, 5.0, 0.0, 20.0)$   |
| $lpha_{SS}$      | decision threshold, SS        | $\mathcal{TN}(2.5, 5.0, 0.0, 20.0)$   |
| $z_{RS1}$        | starting point, RS1           | $\mathcal{TN}(0.5, 0.15, 0.0, 1.0)$   |
| $z_{SS}$         | starting point, SS            | $\mathcal{TN}(0.5, 0.15, 0.0, 1.0)$   |
| au               | non decision time             | $\mathcal{U}(0.0, min(RT))$           |

| 1541 | Table S1: | Summary of | of free | parameters | and priors | in the DSTP |
|------|-----------|------------|---------|------------|------------|-------------|
|------|-----------|------------|---------|------------|------------|-------------|

1542

The model was able to capture basic effects such as a higher proportion of errors in the 1543 incongruent condition compared to congruent, and faster errors than correct responses in the 1544 incongruent condition. Figure S1 illustrates the performance of the DSTP model via model-1545 generated choice-RT distributions for each condition. The model performers similarly to the FFI 1546 time model, predicting more variability in RTs for correct responses in the incongruent condition 1547 than we observe in the data. As shown by conditional accuracy functions (CAFs) in Figure S2, 1548 the DSTP model also does not predict slow errors in either the congruent or incongruent 1549 condition, similar to the FFI models in our investigation. Interestingly, the DSTP model is able to 1550 capture fast errors in the congruent condition, unlike the time-based models in our investigation. 1551 As discussed in the main text, the poor performance of the DSTP model in comparison to the 1552 conflict-based alternatives appears to be due in part to its complexity. 1553 1554 1555



*Figure S1: Observed and DSTP model-generated choice-RT distributions.* Observed RT distributions for correct
 (light gray histograms) and incorrect (dark gray histograms) responses were averaged across participants. Models
 were simulated 10,000 times for each condition, using each participant's best-fitting parameters. Lines show average
 model-generated distributions across participants.



*Figure S2: Observed and DSTP-predicted CAFs for congruent and incongruent trials.* Data from each subject were sorted according to RT within 6 equally-spaced percentile bins. Performance and minimum RT for each bin were averaged across participants in the congruent (blue Xs) and incongruent (red Xs) conditions. After generating 1,000 choice-RT pairs from each subject's best-fitting parameters within each model, the same procedure was used to calculate CAFs for each model (gray lines).

#### **S1.2 Experiment 2** 1566

Experiment 2, designed and administered by Servant et al. (2014), required participants to 1567 indicate the color of a target circle amid flanker circles of a congruent or incongruent color. The 1568 color saturation of center target circles varied from trial to trial within six conditions (degrees of 1569 suprathreshold saturation levels: 15%, 25%, 35%, 45%, 60% and 80%), while the color 1570 saturation of flanker circles was held constant at 80%. An example of the stimuli used in 1571 Experiment 2 is provided in *Figure 13* of the main manuscript. To fit the DSTP model, we 1572 needed to make adjustments to accommodate the target color saturation manipulation. When 1573 modifying our SSP variants to fit data from Experiment 2, we replaced the perceptual input 1574 strength parameter *P* with six points along a monotonically increasing sigmoid function. We took 1575 a similar approach to modifying the DSTP. We made the assumption that the target color 1576 saturation manipulation would affect both RS1 and SS phases of Stage 1, with RS1 representing 1577 automatic feature-driven attentional processes and SS representing a more controlled mode of 1578 selecting a stimulus for further processing. Because RS2 in Stage 2 represents decision processes 1579 after the target has already been identified in the SS phase, specific perceptual features of the 1580 target like color saturation should not have an affect on  $\rho_{RS2}$ . We therefore implemented 1581 sigmoidal functions to calculate drift rates in both RS1 and SS. Because the color saturation of 1582 targets varied between trials while the color saturation of flanker stimuli was held constant, we 1583 specified a vector k such that 1584

$$k = [\rho_{target(0.15)}, \rho_{target(0.25)}, \rho_{target(0.35)}, \rho_{target(0.45)}, \rho_{target(0.60)}, \rho_{target(0.80)}]_{\text{Was}}$$

calculated via a sigmoidal function 1586

Г

$$k_i = d_{RS1} + \frac{a_{RS1} - d_{RS1}}{1 + e^{-c_{RS1}(h_i - b_{RS1})}}$$

where h = [0.15, 0.25, 0.35, 0.45, 0.60, 0.80] and  $a_{RS1}, b_{RS1}$  and  $c_{RS1}$  were free parameters. 1588  $d_{RS1}$ , representing the floor value of the sigmoidal function, was fixed to 0 since values of  $\rho_{target}$ 1589 were constrained to be positive in the original model.  $\rho_{RS1}$  was then calculated with the equation 1590  $\rho_{RS1} = \rho_{target(i)} + \rho_{flankers}$ 1591 for each target color saturation condition i, where  $\rho_{flankers}$  was negative on incongruent trials. 1592 Similarly for the SS phase, we specified a vector  $\hat{J}$  such that 1593  $j = [\rho_{SS(0.15)}, \rho_{SS(0.25)}, \rho_{SS(0.35)}, \rho_{SS(0.45)}, \rho_{SS(0.60)}, \rho_{SS(0.80)}]$ was calculated via a 1594 sigmoidal function 1595  $j_i = d_{SS} + \frac{a_{SS} - d_{SS}}{1 + e^{-c_{SS}(h_i - b_{SS}))}}$ 

1596

Where  $a_{SS}$ ,  $b_{SS}$ ,  $c_{SS}$ , and  $d_{SS}$  were free parameters. Here,  $d_{SS}$  was free to allow drift rates in the SS phase to take on negative values. Examples of sigmoidal functions calculated from various values of a, b, and c are shown in *Figure 14* of the main manuscript. Priors for free parameters governing the sigmoidal functions for phases RS1 and SS are provided in *Table S2*.

1601

1602 Table S2: Summary of free parameters and priors in the DSTP added for Experiment 2

| Parameter | Prior                                  |
|-----------|--|
| $a_{RS1}$ | $\mathcal{TN}(1.0, 10.0, 0.0, 20.0)$   |
| $b_{RS1}$ | $\mathcal{U}(-1.0, 1.0)$               |
| $c_{RS1}$ | $\mathcal{TN}(4.0, 10.0, 0.0, 30.0)$   |
| $a_{SS}$  | $\mathcal{TN}(1.0, 10.0, -20.0, 20.0)$ |
| $b_{SS}$  | $\mathcal{U}(-1.0, 1.0)$               |
| $c_{SS}$  | $\mathcal{TN}(4.0, 10.0, 0.0, 30.0)$   |
| $d_{SS}$  | $\mathcal{TN}(0.0, 10.0, -20.0, 20.0)$ |

1603

1604

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# S3 Full BPIC comparison from Experiment 1

The LCA control model that was described in the main manuscript included free parameters for 1607 both leak ( $\kappa$ ) and lateral inhibition ( $\beta$ ). To test if either  $\kappa$  or  $\beta$  was independently driving the 1608 model's success at fitting the data from Experiment 1, we additionally fit variants with free  $\kappa$  and 1609 fixed  $\beta$  ( $\beta = 0$ ), and free  $\beta$  and fixed  $\kappa$  ( $\kappa = 0$ ). Figure S3 is a modified version of Figure 6, 1610 which illustrates BPIC values for each model, mean-centered within-subject. Our results show 1611 that the full LCA control model with free  $\kappa$  and  $\beta$  outperform the fixed-parameter variants. By 1612 calculating differences in BPIC values between the fixed  $\kappa$  and fixed  $\beta$  variants across subjects, 1613 we found that the model with fixed  $\kappa$  fit better than the model with fixed  $\beta$  on average (15 wins 1614 for model with fixed  $\kappa$  compared to 11 wins for the model with fixed  $\beta$ ). Compared to the full 1615 LCA-control model with free leak and lateral inhibition terms, however, neither model fit the 1616 data as well (14 wins for full model, 6 wins for model with fixed  $\kappa$ , 6 wins for model with fixed 1617  $\beta$ ). Our results indicate that both leak and lateral inhibition are necessary for fitting the data 1618 across subjects within our control framework. 1619



*Figure S3: Heat map of BPIC values, mean-centered within-subject for Experiment 1.* Each column corresponds to a
subject. Lower BPIC values (blue hues) indicate better model fits. The winning model for each subject is outlined in
black. Average mean-centered values across subjects are shown in the panel to the right.

## 1624 S3 Additional results from Experiment 3

1625 A comparison of model fits to the behavioral data collected in Experiment 3 is shown as a

heatmap in *Figure S4*. BPIC values were mean-centered within-subject, and lower values

indicate better fits. When considering mean values across subjects, we observed similar results in

1628 Experiment 3 as in Experiment 1, such that the two control-based models outperformed the

alternatives.

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*Figure S4: Heat map of BPIC values, mean-centered within-subject for Experiment 3.* Each column corresponds to a
 subject. Lower BPIC values (blue hues) indicate better model fits. The winning model for each subject is outlined in
 black. Average mean-centered values across subjects are shown in the panel to the right.

<sup>1638</sup> In our model-based EEG analysis of data from a standard flanker task administered in

1639 Experiment 3, we calculated correlations between the signals controlling the width of the

attentional spotlight (e.g. time, time+noise, or cognitive control) and EEG voltage during the

decision. Correlation maps for each individual model are shown in *Figure 19* of the main

1642 manuscript. Topographic plots in *Figure S5* show that increased correlations between EEG

voltage and attention modulation in LCA control, relative to the predictions of the other models,

are widespread across the scalp. None of the other difference maps reveal significant results.



*Figure S5: Mean Z correlation difference maps for observed EEG data and model-generated attention modulation signals.* After calculating Z correlation values for each model and each electrode, we calculated the pairwise
difference topographic maps for each possible pair of models. P values were calculated for each model comparison
and electrode using a 1-sample t-test. Significant correlation differences were identified using a Bejamini-Hochberg
correction for multiple comparisons, indicated by yellow points.

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